




Sundaram Hybrid Fund-Series-H


A Closed-End Income Scheme


This product is suitable for investors who are seeking*


- Income over long term
- investment in fixed income securities and long term capital appreciation by investing a portion of the assets in equity and equity related instruments
- Medium Risk  Yellow

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk may be represented as:

 (BLUE) investors understand that their principal will be at low risk

 (YELLOW) investors understand that their principal will be at medium risk

 (BROWN) investors understand that their principal will be at high risk

Offer of units at Rs 10 during the new fund offer period.

New Fund Offer opens: 14/04/2014

New Fund Offer closes: 28/04/2014

Offer at Rs 10 per unit during the New Fund Offer Period

- **New Fund Offer opens on: 14/04/2014** • **New Fund Offer closes on: 28/04/2014**

Being A Closed-End Income Scheme, investors can subscribe to the Units of the Scheme during the New Fund Offer Period only and the Scheme will not reopen for subscriptions after the closure of NFO. The Fund will not buy the units back till the maturity of the Scheme. However, in order to provide the liquidity to the investors, the units of the Scheme are proposed to be listed in Bombay Stock Exchange (BSE) within 5 business days from the date of allotment. Hence, Investors who want to buy/sell the units of the Scheme can buy/sell the units in Demat Form in the secondary market. The Trustee reserves the right to extend the closing date of the New Fund Offer period subject to the condition that the subscription list shall not be kept open for more than 15 days. A notice will be issued in the public newspaper for any such extension. The Trustee also reserves the right to close the subscription list at an earlier date than indicated by giving a prior notice of at least one day in one daily newspaper.

This Key Information Memorandum sets forth the information that a prospective investor ought to know before investing. For further details of the Scheme / mutual fund, detailed risk factors, rights of and services for investors, due diligence certificate of Sundaram Asset Management, key personnel, penalties & pending litigations, and associate transactions, to name a few, investors must, refer to the Statement of Additional Information/Scheme Information Document available free of cost at offices of Sundaram Asset Management, Investor Service Centres, distributors and at www.sundarammutual.com.

The Scheme particulars have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI). The units being offered for public subscription have neither been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM. This KIM is dated 31/03/2014. Please read the relevant SEBI-mandated documents carefully before investing. By filling and signing this application form, applicants are deemed to have understood and accepted the terms of the offer.

Name of the Scheme	<p>Sundaram Hybrid Fund-Series-H</p> <p>Each series under the scheme shall have a separate portfolio and will be identified at the time of launch.</p> <p>The scheme shall commence the NFO at any time within six months from the date of SEBI clearance letter for the scheme information document. Each series will be identified at the time of launch and the NFO period of each series shall not exceed 15 days.</p>												
Investment Objective	To generate capital appreciation and current income, through a judicious mix of investments in equities and fixed-income securities.												
No Guarantee/Assurance	Investors are neither being offered any guaranteed/indicated returns nor any guarantee on repayment of capital by the Scheme. There is also no guarantee of capital or return either by the mutual fund or by the sponsor or by the Asset management Company												
Maturity Period	Sundaram Hybrid Fund-Series-H, maturity period is five years from the date of allotment. The Maturity date is reckoned from the date of allotment. If the Maturity date is not a business day, the subsequent business day shall be considered as the maturity day for the Scheme.												
Asset Allocation	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;">Instrument</th> <th style="width: 20%;">Allocation (%)</th> <th style="width: 20%;">Risk Profile</th> </tr> </thead> <tbody> <tr> <td>Fixed Income Securities</td> <td style="text-align: center;">65-90</td> <td style="text-align: center;">Low to medium</td> </tr> <tr> <td>Money Market Instruments & Cash Equivalents</td> <td style="text-align: center;">0-20</td> <td style="text-align: center;">Low to medium</td> </tr> <tr> <td>Equity and Equity Related instruments</td> <td style="text-align: center;">10-35</td> <td style="text-align: center;">High</td> </tr> </tbody> </table> <p>Investments shall be made only in such securities which mature on or before the maturity of respective series of the scheme.</p> <p>In terms of SEBI notification dated June 05, 2009, the scheme shall not invest more than 30% of its NAV in money market instruments issued by a single issuer.</p> <p>The scheme shall not make any investment in repo in corporate bond and in Credit Default Swaps, securitized debt and in Foreign securities.</p> <p>The scheme shall not engage in securities lending and borrowing / short selling.</p> <p>The scheme shall not invest in Real Estate, Micro Finance, Airlines and IT Sector and also in such other sectors as may be decided by the Credit Committee from time to time as not suitable for investment.</p> <p>Pursuant to SEBI Circular No CIR/IMD/DF/21/2012 dated 13/09/2012 read with SEBI circular no CIR/IMD/DF/24/2012 dated November 19, 2012, the exposure to any sector shall not exceed 30% of each respective series (that is, F, G, H, I, J) under the scheme.. However the said sector exposure limit shall not apply for investments in:</p> <ol style="list-style-type: none"> a) Treasury bills b) G Sec c) Bank CD d) CBLO e) AAA rated securities issued by Public Financial Institutions and Public Sector Banks. <p>An additional exposure to financial services sector (over and above the 30% limit stated above) not exceeding 10% of the net assets of the scheme is permitted by way of increase in exposure to Housing Finance Companies (HFC) only, subject to the following conditions:</p> <ol style="list-style-type: none"> (i) Such securities issued by HFCs are rated AA and above; (ii) These HFCs are registered with National Housing Bank (NHB). (iii) The total investment in HFCs does not exceed 30% of the net assets of the scheme <p>For the purposes of sector exposure limits, AMFI sector classification would be considered. Please note that the sector exposure limit as stated above is not applicable for investments in equity and equity related securities. Any changes in the sector exposure limit as directed by SEBI shall form part of the Scheme Information Document wherever applicable.</p> <p>Investors are requested to refer to the parts of the Scheme Information Document dealing with Risk Factors and Derivatives for a detailed description on how these securities work, the limits and risks relating to such securities.</p>	Instrument	Allocation (%)	Risk Profile	Fixed Income Securities	65-90	Low to medium	Money Market Instruments & Cash Equivalents	0-20	Low to medium	Equity and Equity Related instruments	10-35	High
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Investment Strategy	<p>The scheme shall follow a passive investment strategy for the fixed income component of the Scheme. The initial investment mix between the fixed securities and equity shall be based on a thorough research of the general macroeconomic condition, political and fiscal environment, systemic liquidity, inflationary expectations, corporate performance and other economic considerations. The Investment Manager will keep in mind the Investment Objective of the Scheme and the applicable Regulations.</p> <p>Fixed Income:</p> <ul style="list-style-type: none"> • The Scheme would invest in fixed income instruments. Purchase of fixed-income securities may be made either through initial public offer, private placement, through rights offerings, purchase on the floor of a recognized stock exchange or through negotiated deals on the secondary market. The Scheme may invest in the non-publicly offered securities on the merits of the investment proposals. • The Scheme shall invest in the instruments rated as AA and above. • A portion of the fund could be invested in liquid investments. In terms of SEBI notification dated June 05, 2009, the scheme shall not invest more than 30% of its NAV in money market instruments issued by a single issuer. • The actual percentage of investments in various floating and fixed interest rate securities and the position of derivatives will be decided on day to day basis depending upon the prevailing views on Interest rate. <p>Equity Portfolio:</p> <ul style="list-style-type: none"> • Majority of the equity allocation will be in large cap companies, and the allocation will maintain diversity. During the tenure of the scheme, if the fund manager 												

	<p>is unable to find suitable stocks/companies in the equity allocation, the concerned assets will be held in the form of cash/cash equivalents until the equity allocation is achieved. There will be no excessive stock or sector specific exposure that will enhance the risk of the portfolio. Large cap' stocks are defined as any equity stock whose market capitalization shall not be below the market capitalization of the 50th stock (after sorting the securities in the descending order of market capitalization) listed with the National Stock Exchange of India Limited</p> <ul style="list-style-type: none"> • A portion of the funds can also be invested in other equities. The fund will also pursue opportunities in public offerings popularly termed as IPOs. <p>The Scheme may use derivatives for trading, hedging and portfolio balancing. The cumulative gross exposure through equity, debt, money market instruments and derivative positions shall not exceed 100% of the net assets of the scheme as per SEBI circular No. Cir/IMD/DF/11/2010 dated August 18, 2010. Exposure to derivatives will be limited to 50% of the net asset value of the Scheme at the time of transaction. Exposure is calculated as a percentage of the notional value to the net assets of the Scheme. The same security wise hedge positions shall not be considered in computing the gross exposure. The Scheme will maintain cash or securities to cover exposure to derivatives.</p> <p>The Fund Manager would decide on the appropriate asset allocation for the scheme depending on market conditions, economic conditions, interest rates, liquidity and other relevant considerations, including the risks associated with each investment. The portfolio will be sufficiently diversified portfolio of high quality fixed income securities and money market instruments.</p> <p>Investors are requested to refer to the parts of this document dealing with Risk Factors and Derivatives for a detailed description on how these securities work, the limits and risks relating to such securities.</p> <p>Pending deployment in line with the investment objective, the funds of the Scheme may be invested in short-term deposits with scheduled commercial banks in accordance with applicable SEBI guidelines.</p> <p>Subject to SEBI Regulations, the asset allocation pattern may change from time to time for a short term and for defensive considerations, keeping in view the market conditions, applicable regulations and the perception of the Investment Manager.</p> <p>The scheme may review the above pattern of investments based on views on the debt markets and asset-liability management needs. The portfolio shall be reviewed on a regular basis. At all times, the objective is to generate capital appreciation and current income.</p> <p>The Investment Manager shall adhere to the investment guidelines, level of exposure to debt instruments, issuer concentration limit, maturity period, management style for the debt component of the portfolio limits on expenses and counter parties in which funds may be deployed.</p> <p>The Scheme shall commence investment only on completion of the New Fund Offer period</p>
<p>Disclosures on credit evaluation</p>	<p>Pursuant to SEBI Circular no Cir/IMD/DF/12/2011 dated August 1, 2011.</p> <p>1. Credit Evaluation Policy for close ended debt oriented schemes</p> <p>The objective of the Credit Evaluation Policy is to adopt the best practices and highest standards in the investment decision making process for appraising fixed income and money market securities.</p> <p>The policy covers the following aspects:</p> <ol style="list-style-type: none"> a) The Credit Investment Process, b) The Credit Investment Strategy and c) The Credit Committee <p>a) The Credit Investment Process:</p> <p>The investment process is stated hereunder:</p> <p>The Macro View:</p> <ul style="list-style-type: none"> • The macro economic variables like economic growth, industrial output, money supply, credit growth, investment demand, revenue deficit, balance of payments. • The Monetary policy stance, the level of administered rates, Central Bank reference rates and market initiatives. • The global factors more in line with emerging market context including credit determination, global economic growth, commodity prices and investment preferences. <p>The above indicators determine some of the parameters like Liquidity, Inflation and money flows in the economy. These parameters drive the future interest rates and these are monitored on a regular basis. Both the external inputs from research wings of various intermediaries like Primary Dealers, Merchant Bankers etc. and the internal research by the Economist are considered to arrive at the Macro View.</p> <p>Issuer Selection:</p> <p>The credit analyst evaluates and prescribes specific issuers along with a limit, for taking the credit exposure. The credit evaluation is based on the process and the proprietary model approved the credit committee. The credit evaluation framework mainly considers the following aspects:</p> <ul style="list-style-type: none"> • Company details • Company's position in the industry it operates • History • Management & Shareholdings • Credit rating – external (with outlook if any) • Credit rating – internal • Financial Statement – History/forecast (including cash flow forecast) • Financial ratios and Qualitative analysis • Industry / Sector outlook based on their business cycles • Regulatory environment and Public Policies <p>The credit analyst reviews each issuer limits on a regular basis, as and when the audited financials of the issuers are available. The fund management team also provides information on issuers, obtained through formal as well as informal sources and through market feedback to the credit analyst, which is factored in the credit evaluation process.</p> <p>b) The Investment Strategy:</p> <p>Investments in the fund portfolio will be diversified among a variety of sectors, issuer categories, credit profiles, maturity profiles and instruments. This is intended to take care of liquidity need to meet redemption and reducing impact cost while liquidating the portfolio. The Executive Committee of the Investment Manager may in the interest of investors, at its discretion, and in consultation with the Board of the trustee, define the lower limit of the market capitalisation of midcap stocks, and may also fix the percentages within which the market capitalisation could be varied from the limits.</p> <p>c) The Credit Committee</p> <p>The Investment Manager has constituted an Internal Credit Committee. The Managing Director, Head – Risk Management, Head – Fixed Income and Head - Equities are the members of the Committee. The Committee meets periodically to review the following aspects:</p> <ol style="list-style-type: none"> 1. Decide on whether to include a new entity to the credit / counterparty universe; Review the existing entities in the credit / counterparty universe

2. Fix and review Credit / Counterparty limits for the existing and new entities.
3. Review credit exposures to various entities, asset classes, products, structures and instruments in the fixed income fund portfolios
4. Review the yield curve and liquidity trend in the debt market with respect to various categories of issuers.
5. Recommend Credit strategies for fixed income funds
6. Study the existing formats of risk reports and suggest improvements
7. Review the deviations and overrides to the Fixed Income Risk Guidelines
8. Review the impact on performance of funds owing to credit limits and norms.
9. Such other matters delegated by the Board of AMC / Risk management Committee from time to time

2) List of Sectors, the AMC would not be investing

- 1) Real Estate, Micro Finance, Airlines and IT Sector
- 2) Such other sectors decided by the Credit Committee from time to time as not suitable for investment.

3) Types of Instruments, the plans proposes to invest in

Please refer section under the heading "D. Indicative Investment Universe" in the SID

4) Floors and Ceiling within a range of 5% of the intended allocation against each sub class of asset

Instruments	Credit Rating	AAA	AA	A1+	A2	NA
CDs		—	—	—	—	—
CPs		—	—	—	—	—
NCDs		—	95-100%	—	—	—
Government Securities / Treasury Bills / CBLO / Reverse Repo in G-secs		—	—	—	—	0-5%

Pursuant to SEBI Circular No: Cir/IMD/DF/12/2011 dated August 1, 2011 and rules issued thereon the following shall also apply to the schemes/plans:

- a. The floors and ceilings within a range of 5% of the intended allocation (%) against each sub asset class/credit rating shall be decided at the time of filing the final offer documents with SEBI before launch of the scheme. This will be indicated in the form of letter to the SEBI.
- b. Securities with rating A and AA shall include A+ and A- & AA+ and AA-, respectively.
- c. Positive variation in investment towards higher credit rating in the same instrument may be allowed.
- d. In case of non-availability of and taking into account the risk-reward analysis of CPs, NCDs the scheme may invest in CDs of PSU Banks only and CBLO having highest ratings. However, subsequent to investment and after a review, if the scheme finds NCDs of AAA rated and equivalent short term rating for CPs, the scheme may invest in these securities.
- e. At the time of building up the portfolio post NFO and towards the maturity of the scheme, there may be a higher allocation to cash and cash equivalent.
- f. All investment shall be made based on the rating prevalent at the time of investment. However, in case of an instrument having dual ratings, the most conservative publicly available rating would be considered.
- g. Disclosures with reference to investment in unrated securities, derivatives: The scheme/plan will not make any investments in unrated securities, securitized debts. However, the scheme may make investments in derivative instruments.
- h. In the event of any deviations from the floor and ceiling of credit ratings specified for any instrument, the same shall be rebalanced with 30 days from the date of the said deviation.

There will not be any variation between the intended portfolio allocation and the final allocation portfolio allocation apart from the exceptions in the above mentioned clauses c, d, e and h.

Risk Profile Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Statement of Additional Information and Scheme Information Document carefully for details on risk factors before investment.

Risk Mitigation An independent risk management team is in place to oversee and monitor portfolio risk on a day-to-day basis. Internal risk control guidelines are in place and the portfolio contours are tracked on a daily basis to ensure adherence. Any deviation is brought to the notice of the Managing Director and the fund manager for corrective action. Follow up actions are made to ensure that the deviation is corrected within the time period prescribed in internal risk control guidelines. Adherence to limits from SEBI regulations as well as stipulations in the Scheme Information Document is monitored by the compliance team. The risk management team reports to the Managing Director.

Risk Management Committee: The Board of Sundaram Asset Management has constituted a Risk Management Committee comprising Managing Director & Two Independent Directors. The Risk Management Committee reviews the reports prepared by the Risk Officers. The Risk Management Committee looks into the implementation of Enterprise Risk Management The Committee also reviews the risk guidelines with respect to equity and fixed income funds, set/modify the limits of counter party exposure, review exceptions and overrides and suggest improvements to the framework/formats.

The Heads of Equity and Fixed Income, the Risk Analyst and the Deputy CEO will be the permanent invitees to the Committee. The Compliance Officer acts as the secretary to the committee.

Risk Committee – Role: The Risk Committee will approve the:

- Guidelines in the Universe of securities
- Global Issuer limits (including limit per maturity)
- Counterparty limits
- Limits applicable to each fund such as Credit Diversification ratio, Duration Limit, WAM Limit, Maximum Maturity Limit, Liquidity Risk Limits, Valuation Risk Limits, Risk Grade Limits etc.

Risk Guidelines: Sundaram Asset Management has internal investment norms and risk guidelines for equity and debt investments. Also fund specific guidelines are in place.

Risk Control: Risk control is customized by product according to the level of risk the fund can expose investors to, as specified in the investment mandate.

Scheme-Specific Risk Factors Changes in the prevailing rates of interest are likely to affect the value of the Scheme's holdings and consequently the value of the Scheme's Units. Reinvestment risk, liquidity risk, spread risk, credit risk, counter party risk to name a few, are key factors that may impact the performance of and liquidity in the Scheme. The Scheme may use derivative instruments like Interest Rate Swaps, Interest Rate Future, Forward Rate Agreements or other derivative instruments for the purpose of hedging and portfolio balancing and trading, as permitted under the Regulations and guidelines. Usage of derivatives will expose the Scheme to certain risks inherent to such derivatives. There is risk of capital loss. For further details of fees and expenses, please refer to Part IV - Expenses & Load Structure of this document. Change in Government policy in general and changes in tax benefits applicable to mutual funds may impact the returns to Investors.

In addition, following additional Specific Risk factors that apply to a Closed end Fund are relevant for consideration.

- The Units will not be redeemed prior to maturity and Liquidity will be only by selling the Units in Demat form in the Stock Exchange.
- Though the Units will be listed, there can be no assurance that there will be active secondary market for them.

	<ul style="list-style-type: none"> Trading in the Stock Exchanges in which the Units of the Scheme are listed may be closed/ suspended by the Stock Exchange authorities under special circumstances (e.g., due to market volatility/Circuit filter Rules/breakdown of communication/network systems etc).
Plans and Options	<p>Plans: Regular Plan and Direct Plan</p> <p>Options: Growth & Dividend Payout (Half Yearly and Yearly). If the investor does not clearly specify the choice of option at the time of investing, the default option will be Growth. If the investor chooses dividend option but does not indicate the frequency, the default frequency shall be yearly.</p> <p>All plans and options available for offer under each series of the scheme shall have a common portfolio</p> <p>Direct Plan is only for investors who purchase /subscribe Units into the Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.</p> <p>All categories of investors (whether existing or new Unitholders) as permitted to invest in this scheme are eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund (except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors).</p> <p>No commission for distribution of Units will be paid / charged under Direct Plan. The direct plan will also have a separate NAV</p> <p>Investors wishing to subscribe under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application form.</p> <p>In the following cases, the applications shall be processed under the Direct Plan:</p> <ol style="list-style-type: none"> Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name Where application is received for Regular Plan without Distributor code or the word "Direct" if mentioned in the ARN column. Neither the plan nor the distributor code is mentioned in the application form <p>In the following cases, the applications shall be processed under the Regular Plan:</p> <ol style="list-style-type: none"> The application form contains the distributor code but does not indicate the plan. Where application is received for Regular Plan with Distributor code.
Minimum Application Amount	Rs 5,000/- and in multiples of Rs. 10/- thereafter per application.
Additional Mode of Payment during NFO	<p>Investors may apply for units under the Scheme through Applications Supported by Blocked Amount (ASBA) process during the NFO period by filling in the ASBA form and submitting the same to their respective banks, which in turn will block the subscription amount in the said account as per the authority contained in ASBA form, and undertake other tasks as per the procedure specified therein. For applicants applying through ASBA, on allotment, the amount will be unblocked in their respective bank accounts and account will be debited only to the extent required to pay for allotment of Units applied in the application form. For complete details and ASBA process refer to Statement of Additional Information (SAI) available on our website www.sundarammutual.com and separate application forms attached. The ASBA Application Forms Demat, Non-Demat and Common are attached. The investors may choose and fill up the relevant ASBA application forms.</p> <p><i>Note: For the Scheme HDFC Bank have agreed to provide ASBA facilities.</i></p>
Registration of Bank Account	<p>The Unitholders may choose to receive the redemption/dividend proceeds in any of the bank accounts, the details of which are registered with the Investment Manager by specifying the necessary details in the "Bank Accounts Registration form" which will be available at our office/Sundaram BNP Paribas Fund Services Ltd and on the website of www.Sundarammutual.com. Individuals, HUFs, Sole proprietor firms can register up to five bank accounts and other investors can register up to ten bank accounts in a folio. The unitholder can choose anyone of the registered bank accounts as default bank account. In case the investor fails to mention any preference, then by default the first number indicated in the list shall be the preferred account number.</p> <p>If unit holder(s) provide a new unregistered bank mandate/ a change of bank mandate request with a specific redemption proceeds such bank account may not be considered for payment of redemption proceeds, or the Fund may withheld the payment for up to 10 calendar days to ensure validation of new bank mandate mentioned. Valid change of bank mandate requests with supporting documents will be processed within ten business days of necessary documents reaching the head office of the RTA and any financial transaction request received in the interim will be carried based on previous details only.</p> <p>For more details please refer our websites www.sundarammutual.com. For any queries and clarifications that you may have, please get in touch with us at our office or call our Toll Free 1800 103 7237 (India) +91 44 49057300 (NRI).</p>
Applicable NAV (after the scheme opens for repurchase and sale)	Being a closed ended scheme, the Scheme will not be open for on going subscription/switch-in/repurchase/switch out.
Special products / facilities available during the NFO	<ul style="list-style-type: none"> Investors may apply for the Units of the Schemes through Applications Supported by Blocked Amount (ASBA) process by filling in the ASBA form and submitting the same to their respective banks. DD charges shall be borne by Investment Manager as per prevailing SBI charges provided there is no office of the Investment Manager/Collecting centers in that place Switch from any existing Equity/Debt/Liquid Schemes of Sundaram Mutual into the Units of the Scheme during the NFO Period can be done during the NFO Period. For details the Investor Service Centres can be contacted. An investor may purchase the units in different options available for subscription under Regular Plan of the Scheme through NSE MFSS & BSE StAR Platform (Stock Exchange infrastructure) only during the NFO period. Switching of Units is not permitted under this facility. Investors may hold the Units in physical or dematerialized form. MFSS and StAR are electronic platforms introduced by NSE and BSE respectively for transacting in units of Mutual Funds. The electronic platforms will be available on all business days of the Capital Market segment. The platforms will be available for Participants between 9 a.m. until 3 p.m. The eligible AMFI certified stock exchange brokers will be considered as Official Points of Acceptance (OPA) of Sundaram Mutual Fund. Investors are requested to note that the facility for transacting in mutual fund schemes through stock exchange infrastructure is available subject to such limits, operating guidelines, terms and conditions as may be prescribed by the respective stock exchange(s) and guidelines issued by SEBI from time to time. The Trustees of Sundaram Mutual reserves the right to change/modify the features of this facility at a later date.
Redemptions/Repurchases/Switch-out	<p>Being a Scheme listed on the exchange, the Mutual Fund will not accept any redemption / repurchase and switch-out application. Investors can sell/buy the units of the Scheme in Demat Mode at market price at BSE where the units of the Scheme are proposed to be listed.</p> <p>On the Maturity Date the Units of the Scheme will be fully redeemed/switched out at the Applicable NAV. (If the maturity date is not a Business Day, the subsequent Business Day shall be considered as the maturity date for the Scheme). Details of Redemption/Dividends will be published in Newspapers /notified to the Stock Exchange. The cheques/warrant will be drawn in the name of the sole/first holder with reference to the Registered Bank Account and will be posted/mailed to the address indicated by the investor in the application form within 10 business days .The Redemption proceeds may also be credited to the Registered Bank Account of the First/sole Unit holder, as per the information furnished by the Investor to the R & T Agent/ Depository Participant (in the case of Units held in Demat Form), subject to availability of necessary facility at the concerned bank and each location.</p>
Delay in payment of redemption proceeds	The redemption proceeds will be dispatched/credited to the Registered Bank Account of the Sole/ First Unitholders within 10 Business days from the date of maturity. For any delay in this regard the Investment Manager will be liable to pay interest @ 15% p.a. (or such other rate as may be prescribed by SEBI). However the Investment Manager will not be liable to pay any interest or other compensation if the delay in processing the redemption application/Dividend Payment /Refund is attributable to the Unit holder (e.g. any incorrect /incomplete information or non-furnishing of details required under applicable laws etc). The Investment Manager will not be

	responsible for any loss arising out of fraudulent encashment of cheques and/or any delay/ loss in transit. Further, the dispatch through the courier / Post office (who will be treated as the Investor's agent) to the Registered address (as given by the Investor) shall be treated as delivery to the investor. The Investment Manager / Registrar/ Trustee will not be responsible for any delayed delivery or non-delivery or any consequences thereof, if the dispatch has been made correctly as stated above.																		
Liquidity	In line with current SEBI Regulations, the Fund will not buy the units back till the maturity of the schemes and the Units will be redeemed on the Maturity Date. However, in order to provide the liquidity to the investors, the units of the schemes are proposed to be listed on the BSE within 5 business days from the date of allotment. Hence, Investors who want to liquidate their units of the schemes can sell the units in the secondary market. BSE has given its in – principle approval for listing the units of the scheme on its exchange vide its letter no. DCS/IPO/PB/MF-IP/173/2013-14 dated August 14, 2013. The Trustee reserves the right to add to the list of Stock Exchanges wherein the Units of the Scheme will be listed. The Investment Manager/ Trustee will initiate the delisting procedure at least 30 days prior to the date of maturity of the scheme. The unitholders will not be able to trade in stock exchange once the schemes are delisted.																		
Despatch of Redemption Proceeds	On maturity the redemption proceeds will be mailed to the investors within 10 working days.																		
Dividend Policy	Dividend may be declared by the Trustee at its discretion subject to the availability of distributable surplus as calculated in accordance with the Regulations. There is no assurance/guarantee with respect to the quantum or the frequency or the certainty of dividend distribution. The decision on whether to declare a dividend or not will depend on the performance of the scheme and availability of distributable surplus. The rate of dividend may also vary from time to time. The decision of the Trustee will be final in this regard. Dividend will be declared on the face value of Rs 10 per unit. Unit holders opting for the Dividend Option will only receive dividend. All unit holders whose names appear in the Register of the Scheme / Statement of beneficial owners maintained by the Depositories (in the case of Units held in Demat form) in the Dividend Option category as on the Record Date will be entitled to the dividend. The dividend payment will be subject to the distribution tax, if any, payable by the Mutual Fund as per the Income Tax Act or other laws in force. Dividend will be paid within 30 days from date of declaration. The details of the Record Date for any Dividend that may be declared will be notified through the Stock Exchange where the Units are listed/newspapers. The dividend amount will be dispatched to/ credited to the Bank Account of the First/sole Unit holder within 30 business days as per the information furnished by the Investor to the R & T Agent/ Depository Participant (in the case of Units held in Demat Form) Effect of dividend: Post declaration of dividend the NAV of the Units under the Dividend option will stand reduced by the amount of dividend declared and applicable dividend distribution tax/surcharge/cess/any other statutory levy.																		
Name of the Fund Managers	Siddharth Chaudhary is the Fund Manager for Debt portion & Shiv Chanani is the Fund Manager for Equity portion. The Trustee reserves the right to change the fund manager (s).																		
Benchmark	The performance of the scheme shall be benchmarked to the CRISIL MIP Blended Index. The Trustee reserves the right to change the Benchmarks whenever considered appropriate and the details of the changes will be notified by addendum.																		
Name of the Trustee Company	Sundaram Trustee Company Ltd																		
Performance	This scheme does not have any performance track record																		
Expenses of the Scheme	Entry Load: Nil Application for subscription may be sent directly to Sundaram Asset Management or through distributors. In case the application is submitted through the distributors, the investor may pay upfront commission directly to the distributor, based on his assessment of various factors including service rendered by the distributor. Transaction Charge: The Distributor would be allowed to charge the Mutual Fund Investor a Transaction Charge where the amount of investment is Rs. 10,000/-and above on a per subscription basis. It will be Rs. 150 for First Time Mutual Fund investors and Rs. 100 for others. The Transaction Charge will be deducted by the Investment Manager from the subscription amount and paid to the distributor; and the balance (net) amount will be invested in the scheme. No Transaction charges shall be levied: where the investor purchases the Units directly from the Mutual Fund. The transaction charges are in addition to the existing system of commission permissible to the Distributors. For more details please refer the section "Your Guide to fill the Application Form". The distributors can opt-in / opt-out of levying transaction charges based on 'type of the Product/Scheme' instead of 'for all Schemes'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable. However, the distributor shall not be able to opt-in or opt-out at the investor-level i.e. a distributor shall not charge one investor and choose not to charge another investor.																		
(i) Load Structure	Exit Load: Not Applicable CDSC (if any): Nil Please note that buying and selling the units of the schemes from/ to the market (after closure of the NFO) will not entail any entry / exit load. However, investors will have to bear the cost of brokerage and applicable taxes on the brokerage and other relevant charges as applicable for transacting on secondary market.																		
(ii) Recurring expenses	<p>1. The total annual recurring expenses of the Scheme, excluding deferred revenue expenditure written off, issue or redemption expenses, but including the investment management and advisory fee that can be charged to the scheme shall be within the limits specified in Regulation 52 of SEBI (Mutual Funds) Regulations. The expenses chargeable to the scheme shall include investment management & advisory fee, Trustee fee, custodian fee, Registrar and Transfer Agent fee, Audit fee, Marketing and Selling expenses and other expenses (including listing fee). as listed in the table below:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Expense Head</th> <th style="text-align: right;">% of daily Net Assets</th> </tr> </thead> <tbody> <tr> <td>Investment Management and Advisory Fees</td> <td rowspan="14" style="text-align: center; vertical-align: middle;">Upto 2.25%</td> </tr> <tr> <td>Trustee fee</td> </tr> <tr> <td>Audit fees</td> </tr> <tr> <td>Custodian fees</td> </tr> <tr> <td>RTA Fees</td> </tr> <tr> <td>Marketing & Selling expense incl. agent commission</td> </tr> <tr> <td>Cost related to investor communications</td> </tr> <tr> <td>Cost of fund transfer from location to location</td> </tr> <tr> <td>Cost of providing account statements and dividend redemption cheques and warrants</td> </tr> <tr> <td>Costs of statutory Advertisements</td> </tr> <tr> <td>Cost towards investor education & awareness (at least 2 bps)</td> </tr> <tr> <td>Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.</td> </tr> <tr> <td>Service tax on expenses other than investment and advisory fees</td> </tr> <tr> <td>Service tax on brokerage and transaction cost</td> </tr> <tr> <td>Other expenses</td> </tr> </tbody> </table>	Expense Head	% of daily Net Assets	Investment Management and Advisory Fees	Upto 2.25%	Trustee fee	Audit fees	Custodian fees	RTA Fees	Marketing & Selling expense incl. agent commission	Cost related to investor communications	Cost of fund transfer from location to location	Cost of providing account statements and dividend redemption cheques and warrants	Costs of statutory Advertisements	Cost towards investor education & awareness (at least 2 bps)	Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.	Service tax on expenses other than investment and advisory fees	Service tax on brokerage and transaction cost	Other expenses
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	<p>Maximum total expense ratio (TER) permissible under Regulation 52 (4) and (6) (c) Additional expenses under regulation 52 (6A) (c) Additional expenses for gross new inflows from specified cities under regulation 52 (6A) (b)</p> <p>The maximum total expense ratio (TER) permissible under Regulation 52 (4) and (6) (c) that can be charged to the scheme as a percentage of daily net assets shall be as follows: First Rs 100 crore: 2.25% Next Rs 300 crore: 2.00% Next Rs 300 crore: 1.75% On assets in excess of Rs 700 crore: 1.50%</p> <p>As per Regulation 52(6A)(b), the an additional expense upto 0.30% (30 basis points) on the daily net assets shall be charged to the scheme if new inflows into the scheme from beyond top 15 cities as specified by SEBI, from time to time, are at least: (i) 30% of the gross new inflows in the scheme (or) (ii) 15% of the average assets under management (year to date) of the scheme, whichever is higher. In case the inflows from such cities is less than the higher of (a) or (b) above, expenses shall be charged to the scheme on a proportionate basis as prescribed in the SEBI circular dated September 13, 2012.</p> <p>The amount so charged shall be utilised for distribution expenses incurred for bringing inflows from such cities. However, the amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme(s) in case the said inflows are redeemed within a period of one year from the date of investment.</p> <p>Top 15 cities shall mean top 15 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography - Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.</p> <p>Distribution expenses will not be charged in Direct Plan and no commission shall be paid from Direct Plan.</p> <p>The total expense ratio of Direct Plan shall be lower by atleast 12.5% vis-a-vis the Regular Plan. i.e., If the expenses of Regular Plan are 100 bps, the expenses of Direct Plan shall not exceed 87.5 bps.</p> <p>Sundaram Asset Management reserves the right to charge different heads of expenses, both inter-se or in total, within the overall limits as specified in the table above.</p>	<p style="text-align: right;">Upto 2.25% Upto 0.20% Upto 0.30%</p>
<p>2.</p>	<p>Brokerage and transaction costs which are incurred for execution of trades and included in the cost of investment shall be charged to the Scheme(s) in addition to the total expense limits prescribed under Regulation 52 of the SEBI (Mutual Funds) Regulations. These expenses shall not exceed 0.12% of the value of trades in case of cash market transactions and 0.05% of the value of trades in case of derivatives transactions. Payment towards brokerage and transaction cost in excess of 0.12% and 0.05% for cash market transactions and derivatives transactions respectively shall be charged to the scheme within the maximum limits prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Any expenditure (including brokerage and transaction cost, if any) in excess of the prescribed maximum limit shall be borne by the Investment Manager or by the Trustee or Sponsor.</p>	
<p>3.</p>	<p>The Investment Manager shall set apart at least 0.02% (2 basis points) on the daily net assets of the scheme(s) within the maximum limit of total expense ratio prescribed under Regulation 52 of SEBI (Mutual Funds) Regulations for initiatives towards investor education and awareness taken by Sundaram Mutual fund.</p>	
<p>4.</p>	<p>Service Tax:</p> <ul style="list-style-type: none"> - Pursuant to SEBI circular no CIR/IMD/DF/24/2012 dated November 19, 2012, Service Tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the expenses limit prescribed under Regulation 52 of SEBI (Mutual Funds) Regulations. - Service Tax on investment management and advisory fee shall be charged to the scheme in addition to the maximum limit of total expense ratio as prescribed in Regulation 52 of SEBI (Mutual Funds) Regulations. - Service Tax for services other than investment management and advisory shall be charged to the scheme within the maximum limit of total expense ratio as prescribed in Regulation 52 of SEBI (Mutual Funds) Regulations. 	
	<p>Any circular/clarification issued by SEBI / AMFI in regard to expenses chargeable to the Scheme/Plan(s) will automatically become applicable and will be incorporated in the SID/SAI/KIM accordingly.</p>	
<p>Initial Issue Expenses</p>	<p>Initial issue expenses shall be borne by the Investment Manager/AMC and not by the scheme of mutual fund.</p>	
<p>Tax Implications</p>	<p>This summary of tax implications is based on the current provisions of the applicable tax laws. This information is provided for general purpose only. Investors should also refer to the Statement of Additional Information available at www.sundarammutual.com for more details. In view of the individual nature of tax implications, investors are advised to refer the provisions of the Income-Tax Act and/or consult their investment/tax advisor with respect to the specific tax implications arising out of an investment in the scheme.</p> <ul style="list-style-type: none"> • Income of Sundaram Mutual Fund: Exempt from tax. • Dividend Distribution: Tax free in the hands of the investors. The scheme will pay distribution tax of 25% plus other levies, on distribution to individuals/HUFs and 30.00% plus other levies on distribution to others. The total distribution tax will be @ 28.325% on dividend distributed to individuals and HUFs and @ 33.990% on dividend distributed to persons other than Individuals and HUFs. • Long-term capital gain: Units will be treated as a long-term capital asset if held as a capital asset for more than 12 months. Long-term capital gains are taxable at 10% without indexation for the cost of acquisition or at 20% with indexation whichever is less. Surcharge on Income tax (depending on the total income level) and cess at 3% on total tax are also payable. • Short-term capital gain: If the units are held as capital asset for less than or equal to 12 months, they will be treated as short-term capital asset. Short-term capital gains are taxable at normal rates applicable to the investor as per the provisions of the Income Tax Act and at 30% for Foreign Institutional Investors. Surcharge on income tax (depending on the total income level) and a cess at 3% on total tax is also payable. • The surcharge on Income tax is payable by Companies if their Total income exceeds Rs One crore and the rate will be 5% for Indian Companies and 2% for foreign Companies. Cess @3% on total tax (income tax and applicable surcharge for companies) is payable by all taxpayers. • Tax deduction at source: Not applicable for persons resident in India; TDS applies on redemption proceeds payable to NRIs/FILs. • Wealth tax/Gift Tax: Not payable. The Investment Manager undertakes that under no situation there should be a recourse to the investor in the event of any additional tax liability. 	
<p>Daily Net Asset Value (NAV) Publication</p>	<p>The Investment Manager will calculate and disclose the first NAV of the scheme within 5 business days from the date of allotment. Thereafter, on all working days, NAV will be computed (except in special circumstances detailed in the Statement of Additional Information/Scheme Information Document) and will be released for publication in at least two daily newspapers having circulation all over India and updated on the websites of Sundaram Asset Management (www.sundarammutual.com) and the Association of Mutual Funds of India (www.amfiindia.com). Sundaram Asset Management shall normally update the NAV on the website of Association of Mutual Funds of India before 9.00 p.m. on every working day</p>	
<p>For Investor Grievances please contact</p>	<p>Investor Relations Manager Rahul Mayor, Head- Customer Services, Sundaram Asset Management Company Limited, Sudarshan Building Annex, II Floor, New No.27, Old No.14, Whites Road, Royapettah, Chennai- 600 014. Fax: (044) 28582200 Toll Free 1800 103 7237 (India) +91 44 49057300 (NRI) Email us at : customerservices@sundarammutual.com, (NRI): nriservices@sundarammutual.com</p>	

	<p>Registrar Sundaram BNP Paribas Fund Services Limited, SEBI Registration No. INR 000004066, (Unit: Sundaram Mutual Fund), Central Processing Center, RR Towers II, III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Toll Free 1800 103 7237 (India) +91 44 49057300 (NRI).</p>
<p>Information to unit holders:</p>	<p>On acceptance of a valid application for subscription, units will be allotted and a confirmation specifying the number of units allotted by way of email and/or SMS within 5 Business Days from the date of closure of NFO will be sent to the Unit holder's registered e-mail address and/or mobile number.</p> <p>Subject to SEBI Regulations, Statement of Accounts will be sent to those unitholders whose registered email address / mobile number is not available with the Mutual Fund, unless otherwise required. Money would be refunded in respect of applications rejected, within five business days from the closure of the NFO period. The Scheme shall be available for trading within five business days of allotment.</p> <p>While allotting units in case of subscription to the scheme through switches from other schemes,</p> <ol style="list-style-type: none"> Additional units shall be allotted to the investors for the fractional value greater than 0.5 units so that the total units are rounded off to a full unit. The amount equivalent to conversion of fractional unit to full unit shall be debited to unit premium reserve account. For the fractional value upto 0.5 units, no additional units would be allotted. The value of those units shall be credited as unit premium reserve for the benefit of the investors. The overall cost, if any, arising out of the process shall be absorbed by Sundaram Asset Management Company Limited <p>Consolidated Account Statement:</p> <ol style="list-style-type: none"> A consolidated account statement (CAS)[^] for each calendar month to the Unit holder(s) in whose folio(s) transaction^{**}(s) has/have taken place during the month shall be sent on or before 10th of the succeeding month by mail/e-mail. [^]Consolidated Account Statement (CAS) shall contain details relating to all the transactions^{**} carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor, if any. ^{**}<i>The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions.</i> In case of a specific request received from the Unit holders, the Investment Manager/Mutual Fund will provide the account statement to the investors within 5 Business Days from the receipt of such request. In case the mutual fund folio has more than one registered holder, the first named Unit holder shall receive the CAS/account statement. For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN). The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly CAS will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical. Such Consolidated Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. The statement of holding of the beneficiary account holder for units held in DEMAT will be sent by the respective DPs periodically. Any circular/clarification issued by SEBI/AMFI in this regard will automatically become applicable and shall be incorporated in the SID/SAI/KIM wherever applicable. The Investment Manager shall publish the portfolio of the scheme as of March 31 and September 30 of every year before the expiry of one month from the close of each half year. The portfolio shall be published in the SEBI-prescribed format in one national English daily newspaper and in a newspaper in the language of the region where the Head Office of the Mutual Fund is situated. The Investment Manager shall disclose the portfolio (along with ISIN) as on the last day of the month for all the schemes in its website www.sundarammutual.com on or before the tenth day of the succeeding month in a user-friendly and downloadable format, preferably a spreadsheet. Sundaram Mutual fund shall make half yearly disclosures of unaudited financial results on its website www.sundarammutual.com in the prescribed format within one month from the close of each half year, i.e. on 31st March and on 30th September. The half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund. In addition, Sundaram Mutual Fund shall publish an advertisement disclosing the hosting of such financial results in its website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of Sundaram mutual fund is situated. An abridged Scheme-wise annual report shall be sent only through email to all unit holders whose email address is available within four months from the date of closure of the relevant accounting year; unit holders who have not provided an email address/who have requested for a physical copy shall receive a copy by post. The link of Scheme-wise annual reports or abridged summary thereof will also be prominently displayed at www.sundarammutual.com
<p>General Risk Factors</p>	<p>All mutual funds and securities investments are subject to market risks, and there can be no assurance or guarantee that fund's objectives will be achieved. NAV may go up or down, depending on the factors and forces affecting the securities market. Main types are market risk, liquidity risk, credit risk and systemic risks. At times, liquidity of investments may be impaired. There is uncertainty of dividend distribution and risk of capital loss. Past performance of the Sponsor/Asset Management Company/Fund does not indicate the future performance. Investors in the Scheme are not being offered any guaranteed or indicated returns. Sundaram Hybrid Fund-Series-H does not in any manner indicate either quality or future prospects and returns.</p>
<p>Standard Risk Factors</p>	<p>Risks from Debt Exposures: Credit Risk, Interest Rate Risk, Price Risk, Market Risk, Liquidity Risk, Investment Pattern Risk, Duration Risk and Non-Diversification Risk, to name a few.</p> <p>Risk Factors - Equity Markets: Stock Market Volatility, Equity Price Risk, Dependency Risk, Temporary Investment Risk and Non-Diversification Risk and Concentration Risk, to name a few.</p>
<p>Statutory information on Sponsor/Trustee</p>	<p>Sundaram Mutual Fund has been set up as a trust under the Indian Trusts Act, 1882 Sponsor: Sundaram Finance Limited Investment Manager: Sundaram Asset Management Company Limited. Trustee: Sundaram Trustee Company Limited. The sponsor is not responsible or liable for any loss beyond the contribution of Rs.1 lakh to set up the Mutual Fund.</p>

Differentiating aspect of Sundaram Hybrid Fund-Series-H: The significant difference as compared to the Capital Protection Oriented Schemes that have been launched previously is that the Fund will combine two types of asset classes - Fixed income securities and equity in a closed end fund, without the portfolio being rated or structured as "oriented towards protection of capital".

Sundaram Hybrid Fund-Series-H

Your guide to fill the application form

Neither the SID/KIM/SAI nor the units of the schemes of Sundaram Mutual Fund have been registered in any jurisdiction, outside India. The distribution of the SID/KIM/SAI in certain jurisdictions may be restricted or totally prohibited or subject to registration requirements and accordingly, persons who come into possession of the SID/KIM/SAI in such jurisdictions are required to inform themselves about, and to observe, any such restrictions and / or legal compliance requirements. No person receiving a copy of the SID/KIM/SAI or any application form in such jurisdiction may treat the SID/KIM/SAI or such application form as constituting an invitation to them to subscribe for Units, nor should they in any event use any such application form, unless in the relevant jurisdiction such an invitation could lawfully be made to them and such application form could lawfully be used without compliance of any registration or other legal requirements. The units offered under the schemes of Sundaram

Mutual Fund have not been and will not be registered under the United States Securities Act of 1933 for offer or sale as part of their distribution and neither Sundaram Mutual Fund nor Sundaram Asset Management Company Limited, the Investment Manager has been and will be registered under the United States Investment Company Act of 1940. It should be noted that Investors will not have the benefit of the substantive provisions of the laws of the United States of America, including the United States Investment Advisers Act of 1940, as amended. Therefore, subject to the ultimate discretion of the Investment manager, the products may not be offered or sold to or for the benefit of a US Person, as such term is defined herein. The Investment Manager may mandatorily redeem any Units that are held by or for the benefit of any US Person. You are also requested to refer to the section "Special Considerations" available in the Scheme Information Document of the respective schemes in this regard.

Checklist

Please complete Know Your Customer requirement

Please make the Cheque/Demand Draft in favour of Sundaram Hybrid Fund Series H in which you would wish to invest and write the PAN number or Folio number (for investors who have an account with Sundaram Mutual) on the reverse of the Cheque/Demand Draft.

Permanent Account Number (PAN) is not required for an individual investor if the purchase amount is less than Rs 50,000 (including SIPs, if any) in any rolling 12-month period or in a financial year per investor per mutual fund.

Investors falling under this category must produce a copy of any of the following specified photo identification documents:

1. Voter Identity Card
2. Driving License
3. Government / Defense identification card
4. Passport
5. Photo Ration Card
6. Photo Debit Card (Credit card not included because it may not be backed up by a bank account).
7. Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry of Company Affairs <http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty&mode=31>)
8. Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament
9. ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.
10. Senior Citizen / Freedom Fighter ID card issued by Government.
11. Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICISI.
12. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).
13. Any other photo ID card issued by Central Government/ State Governments/ Municipal

If you are a new investor in Sundaram Mutual Funds, please ensure you take care to mention:

- Permanent Account Number (PAN is mandatory for all investors).
- Mode of operation in case of joint applicants
- Bank account details of the first applicant
- Bank details for direct credit/ ECS/NEFT/RTGS

If investment is made under Power of Attorney, specimen signature of authorized signatory.

If the investor is a minor, the following points should be noted for opening folio / account. For detailed instructions, please refer the Statement of Additional Information:

- (i) The minor shall be the first and the sole holder in an account. No joint holders are allowed in such accounts.
- (ii) Guardian in the account/folio on behalf of the minor should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian. Documents supporting the date of birth of the minor and also the relationship of the minor and guardian should be enclosed with the application form.

Small investors, who may not be tax payers and may not have PAN/bank accounts, such as farmers, small traders/businessmen/workers can invest in the scheme through the mode of cash payment for fresh purchases/additional purchases upto Rs.20,000/- per investor, per mutual fund, per financial year subject to:

- (i) Compliance with Prevention of Money Laundering Act, 2002 and Rules framed there under;
- (ii) SEBI Circular(s) on Anti Money Laundering (AML) and other applicable AML rules, regulations and guidelines; and
- (iii) Sufficient systems and procedures put in place by the AMC / Mutual Fund. However, payment to such investors towards redemptions, dividend, etc. with respect to aforementioned investments shall be paid only through banking channel.

Sundaram Mutual Fund / Investment Manager shall set up appropriate systems and procedures for the said purpose

Please attach:

- Attested copy of Permanent Account Number Card
- KYC Acknowledgement.
- Signed 'Account Payee' cheque/draft drawn in the Sundaram Hybrid Fund Series H in which you wish to invest and indicate PAN number on reverse.
- A cancelled cheque or a photocopy of your cheque leaf (a must if you wish to avail the facility of direct credit/ ECS and preferable in all cases to ensure your bank account details are captured accurately)
- If investment is made under Power of Attorney, notarised copy of the Power of Attorney
- In case of a Trust/Fund, a resolution of the Trustee(s) authorising the investment must be submitted.
- If you are an institution, please attach a copy of documents indicated in the table:

Special Categories (please attach a copy)

Document	Corporate	Society	Partnership	Trust
Memorandum & Articles	✓			
Resolution/Authorisation to Invest	✓	✓	✓	✓
List of Authorised Signatories & Specimen Signatures	✓	✓	✓	✓
Bye-Laws		✓		
Trust Deed		✓		
Partnership Deed			✓	

Not In Good Order (NIGO)

All applications received by the Investment Manager / Registrar & Transfer Agent(RTA) shall be on a "Subject to Verification" basis. Applications that are found to be inaccurate or incomplete upon preliminary scrutiny will be returned at the counter itself to the investor or agent for rectification. Applications that are accepted at the counter and subsequently found as incomplete or inaccurate on material aspects by the Investment Manager /RTA are classified as Not In Good Order (NIGO). Such NIGO applications are liable to be rejected and reasons for incompleteness/inaccuracy shall be communicated to the investor for remedial measures. The applications submitted after remedy will be processed at the NAV of the date and time of receipt of the same at our office/office of the RTA.

This form is applicable for persons both resident in India and for NRIs.

1. Existing Investor Information: If you are an investor in any fund of Sundaram Mutual Fund serviced by Sundaram BNP Paribas Fund Services Limited, please provide the Folio Number. You are not required to fill details required in Section 2. You must proceed directly to Section 3 as details required in Section 2 are available in your existing folio. If you wish to change any of the existing details, use a transaction slip available with your account statement.

2. New Investor Information: Name and address must be written in full. If the investment is on behalf of a minor (a person who is yet to complete 18 years), the Name of the Guardian and his/her PAN must be mentioned.

In case of more than one applicant, applicants are requested to state the Mode of holding as 'Joint' or 'Anyone or Survivor'. In case of omission to choose the mode of holding, the default option shall be 'Anyone or Survivor'

All communication and payments shall be made to/in the name of and favouring the first/sole applicant.

Applications by a Power of Attorney, a limited company, a corporate body, an eligible institution, a registered society, a partnership firm or a trust must be accompanied by documents as mentioned in the checklist. The documents must be attested by the designated or authorised official of the institution/power of attorney or by a notary or gazetted officer of the government/bank manager. Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified or attested, must be attached to the Application Form.

Employee Unique Identification Number (EUIIN) has to be filled by the distributor, irrespective of whether the transaction is advisory or execution only as EUIIN would assist the investor in tackling the problem of mis-selling even if the employee/ relationship manager/sales person leave the employment of the distributor.

Transaction charges:

Terms and Conditions relating to Transaction Charges (applicable for both existing and new investors in the schemes of Sundaram Mutual) pursuant to SEBI circular No. Cir/IMD/DF/13/2011 dated August 22, 2011:

1. The Distributor would be allowed to charge the Mutual Fund Investor a Transaction Charge where the amount of investment is Rs. 10,000/- and above on a per subscription basis
2. For an investor other than First Time Mutual Fund Investor, the Transaction Charge allowed will be Rs. 100/- per subscription of Rs. 10,000/- and above
3. For a First Time Mutual Fund Investor, the Transaction Charge allowed will be Rs. 150/- per subscription of Rs. 10,000/- and above
4. The Transaction Charge, where applicable based on the above criteria, will be deducted by the Investment Manager from the subscription amount remitted by the Investor and paid to the distributor; and the balance (net) amount will be invested in the scheme. Thus units will be allotted against the net investment.
4. No Transaction charges shall be levied:
 - a) Where the distributor/agent of the investor has not opted to received any Transaction Charges;
 - b) Where the investor purchases the Units directly from the Mutual Fund;
 - c) Where total commitment in case of SIP / Purchases / Subscriptions is for an amount less than Rs. 10,000/-;
 - d) On transactions other than purchases / subscriptions relating to new inflows. Switches / Systematic Transfers / Allotment of Bonus Units / Dividend reinvestment Units / Transfer / Transmission of units, etc will not be considered as subscription for the purpose of levying the transaction charge.
 - e) Purchases / subscriptions carried out through stock exchange(s).

The distributors can opt-in / opt-out of levying transaction charges based on 'type of the Product/Scheme' instead of 'for all Schemes'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable. However, the distributor shall not be able to opt-in or opt-out at the investor-level i.e. a distributor shall not charge one investor and choose not to charge another investor. The transaction charges are in addition to the existing system of commission permissible to the Distributors. On subscription through Distributors, the upfront commission if any will be paid directly by the Investors to the Distributor by a separate cheque based on their assessment of various factors including the service rendered by the Distributor.

Any circular/clarification issued by SEBI/AMFI in this regard will automatically become applicable and will be incorporated in the SID/SAI/KIM wherever applicable.

Permanent Account Number (PAN)

SEBI has mandated that PAN shall be the sole identification number for all participants in the securities market, irrespective of the amount of the transaction. Accordingly, the applicant (or in case of applications in joint names, each applicant), is required to mention their PAN and attach an signed/attested copy of PAN card with the application.

Investors can also submit a copy of PAN attested by the Bank Manager/Gazetted Officer of State or Central Government /Notary Public/Judicial Authority. Applications without a copy of the PAN will be rejected. Permanent Account Number (PAN) is not required for Micro SIP of an individual investor if the total amount of installments is less than Rs 50,000 per investor in any rolling 12-month period or in a financial year. This exemption is also applicable to other purchase transactions if the amount, including SIPs, is less than Rs 50,000 (aggregate under all the schemes of Sundaram Mutual) in any rolling 12-month period or in a financial year per investor. Investors falling under this category must produce a copy of any of the specified photo identification documents listed in Guide to Investing through Systematic Investment Plan (SIP) forming part of the Common KIM for Fixed Income schemes. However investors having PAN are not eligible for simplified KYC procedures.

Instructions for verification:

- Investment Manager branch officials/Registrar and Transfer Agents and Investor Centres will verify the PAN card copy with originals. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested.
- Alternatively copy of the PAN shall be attested by the Bank Manager/Gazetted Officer of State/Central Government / Notary Public/Judicial Authorities.
- Alternatively, the distributors can verify the PAN card copy with originals. The person verifying the

document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested.

- All other document/copies to be self certified by the investor and certified by AMFI certified distributor (with ARN affixed).
- Applications without required documents will be liable to be rejected. With effect from January 01, 2008 PAN Copy will be mandatory for all applicable transactions.

Know Your Customer (KYC) Requirement:

The Securities and Exchange Board of India has issued detailed guidelines on 18/01/2006 and measures for prevention Money Laundering and had notified SEBI (KYC Registration Agency) Regulations, 2011 on December 02, 2011 with a view to bring uniformity in KYC Requirements for the securities market and to develop a mechanism for centralization of the KYC records. SEBI has also issued circulars from time to time on KYC compliance and maintenance of documentation pertaining to unit holders of mutual funds.

Accordingly the following procedures shall apply:

- KYC acknowledgement is mandatory for all investors.
- An application without acknowledgement of KYC compliance will be rejected
- New Investors are required to submit a copy of Income Tax PAN card, address proof and other requisite documents along with the KYC application form to any of the intermediaries registered with SEBI, including Mutual Funds to complete KYC effective. The KYC application form is available at www.sundarammutual.com
- The Mutual Fund shall perform initial KYC of its new investors and send the application form along with the supporting documents to the KYC Registration Agency (KRA).
- During the KYC process, the Mutual Fund will also conduct In Person Verification (IPV) in respect of its new investors. Sundaram Asset Management Company Limited and the NISM / AMFI certified distributors who are KYD compliant are authorized to carry out the IPV for investors in mutual funds. In case of applications received directly from the investors (i.e. not through the distributors), mutual funds may rely upon the IPV performed by the scheduled commercial banks.
- The KRA shall send a letter to the investor within 10 working days of the receipt of the KYC documents from Mutual Fund, confirming the details thereof.
- Investors who have obtained the acknowledgement after completing the KYC process can invest in Scheme of the Mutual funds by quoting the PAN in the application form.
- Investors are required to complete KYC process only once to enable them to invest in Scheme of all mutual funds.

Pursuant to SEBI circular no. MIRS/D/Cir-5/2012 dated April 13, 2012, mutual fund investors who were KYC compliant on or before December 31, 2011 are required to submit 'missing/not available' KYC information and complete the 'In Person Verification' (IPV) requirements if they wish to invest in a new mutual fund, where they have not invested / opened a folio earlier, effective from December 03, 2012:

Individual investors have to complete the following missing/not available KYC information:

- Father's/Spouse Name,
- Marital Status,
- Nationality,
- Gross Annual Income or Net worth as on recent date
- In-Person Verification (IPV).

To update the missing information, investors have to use the "KYC Details Change Form" for Individuals Only available at www.sundarammutual.com or www.amfiindia.com. Section B of the form highlights 'Mandatory fields for KYCs done before 1 January 2012' which has to be completed.

In case of Non Individuals, KYC needs to be done afresh due to significant and major changes in KYC requirements by using "KYC Application form" available for Non-Individuals only in the websites stated above. Duly filled forms with IPV can be submitted along with a purchase application, to the new mutual fund where the investor is investing / opening a folio.

Alternatively, investors may also approach their existing mutual funds at any investor service centre to update their 'missing/not available' KYC information.

Sundaram Mutual Go Green Services: Save the Future

- **Go Green Web service Web/IPIN Services:** Use Sundaram Mutual Go Green Services and get an I-PIN (Personal Identification Number) to transact online (Please use the PIN Agreement form attached in this document). You can keep track of your investment online at www.sundarammutual.com. You can also make routine transactions such as redemption, switch and change of bank mandate/address. To use this facility, you need to have a Unique Identification Number through Personal Identification Number (PIN) provided by Sundaram BNP Paribas Fund Services Limited, the Registrar. Choose 'Yes' to avail this facility and also make a further contribution towards the environment by helping conserve paper and trees. Applicants who request a Personal Identification Number (PIN) by ticking the 'yes' box will be deemed to have read understood and agreed to the terms and conditions for the PIN that are available at www.sundarammutual.com. If you are an existing investor and wish to transact online without an IPIN please register online by visiting www.sundarammutual.com.
- **Go Green e-Update Services:** Please provide details of preferably your personal Email Address. This is a must to facilitate delivery of your Account Statement in an efficient and timely manner. Also, by receiving the Account Statement in a paperless mode by e-mail, you contribute to the environment. The advantage of electronic facility is available even if you opt to receive the Account Statement by Courier/Post. The investor is deemed to be aware of security risks including interception of documents and availability of content to third parties. Sundaram Asset Management provides interesting information on the economy, markets and funds. If you wish to receive documents such as The Wise Investor, India Market Outlook, Global Outlook, Fact Sheet and One Page Product Updates, to name a few, please choose the 'yes' option.
- **Go Green Mobile Services:** Sundaram Asset Management provides instant SMS alerts for your transactions. To avail this facility please choose the 'yes' option.

3. Bank Account Details: Providing bank account details is mandatory according to SEBI regulations. Please complete all the details such as account number, name of the bank, branch, address and city. If you opt for ECS fill the nine digit MICR number that is available in your cheque leaf. If you have opted to receive redemption proceeds by RTGS/NEFT, fill in the additional five fields marked in italics. **RTGS/NEFT are unique numbers for every account with a bank branch. You can obtain them by contacting your banker. Please attach a cancelled cheque or a photocopy of the cheque to ensure that your account details are captured accurately in your record with the registrar. This is a must if you opt for ECS.**

In case of NRIs, if the payment is by Demand Draft, or source of funds is not clear on the cheque leaf, please provide a copy of the FIRC (Foreign Inward Remittance Certificate).

Proceeds of any redemption will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing.

Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption payment request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used. Valid change of bank mandate requests with supporting documents will be processed within ten business days of necessary documents reaching the head office of the RTA and any financial transaction request received in the interim will be carried based on previous details only. Effective May 01, 2012, the forms for redemption request and change of bank account have been segregated to ensure that the two different requests are handled and executed separately for all existing and new customers. For more details please refer to the Website www.sundarammutual.com or contact the offices of the AMC/RTA.

4. How do you wish to receive:

- **Account Statement: Please provide details of preferably your personal Email Address.** This is a must to facilitate delivery of your Account Statement in an efficient and timely manner. Also, by receiving the Account Statement in a paperless mode by e-mail, you contribute to the environment. The advantage of electronic facility is available even if you opt to receive the Account Statement by Courier/Post. The investor is deemed to be aware of security risks including interception of documents and availability of content to third parties. If you reside in a place that is normally not reached by a reputed courier, please choose the 'Post' option.

- **Direct Credit:** The redemption proceeds on maturity will be credited to your designated bank account. It is available now with select banks and Sundaram Asset Management plans to enhance the coverage. At present, Direct Credit Facility is available for investors who have an account with Axis Bank, BNP Paribas Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, ING Vysya, Kotak Mahindra Bank, Royal Bank of Scotland, State Bank of India, Standard Chartered Bank, YES Bank. An investor who opts for Direct Credit facility is requested to enclose a cancelled cheque or a photocopy of the cheque to ensure that the correct bank account number and MICR code are entered in his/her record.

RTGS & NEFT: RTGS is Real Time Gross Settlement and applicable for payments/fund transfer in excess of Rs 1 lakh. NEFT is National Electronic Funds Transfer and is applicable for payments/fund transfer of less than Rs 1 lakh. RTGS & NEFT are modes of transferring money through electronic system and are easy and more secure ways to receive your redemption proceeds.

Warrants/Draft: Warrant/draft will be payable only at cities where Sundaram Mutual Customer Service Centres are located. If an investor is not interested in the electronic fund transfer-based facilities and prefers receiving a cheque or demand draft, he/she must indicate the preference in the application form. Sundaram Asset Management will strive to effect the payout by sending a cheque / demand draft. In case of unforeseen circumstances, the Sundaram Asset Management reserves the right to issue a demand draft / payable at par cheque.

Investors expressly agree and authorise the mutual fund to use intermediaries such as post office, local and international couriers and banks, to name a few, to send communication or send cheque / demand draft / warrant. Intermediaries are agents of the investor and not the mutual fund. The Trustees / Investment Manager / Mutual Fund will not be responsible for any loss arising out of fraudulent encashment of cheque/draft or delay / loss in transit of any cheque / draft / communication.

5. Fund in which you wish to invest and Plans & Options: Please indicate clearly the complete name of the fund. The fund name is available in the Key Information Memorandum or checklist on this page or the cover page of this document.

Investors wishing to subscribe under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application form.

In the following cases, the applications shall be processed under the Direct Plan:

1. Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name
2. Where application is received for Regular Plan without Distributor code or the word "Direct" is mentioned in the ARN column.
3. Neither the plan nor the distributor code is mentioned in the application form

In the following cases, the applications shall be processed under the Regular Plan:

1. The application form contains the distributor code but does not indicate the plan.
2. Where application is received for Regular Plan with Distributor code.

Payment Details: The cheque/demand draft must be drawn in favour of **Sundaram Hybrid Fund Series H** and crossed A/c Payee only. Investors must write the Permanent Account Number/Folio Number on the reverse of the cheque / demand draft accompanying the application form. Sundaram Asset Management is pleased to bear DD charges as per rates of State Bank of India where there are no collection centers.

Purchases made through third party cheque(s) will not be accepted. In case of payment from a Joint Bank Account, the First holder in the Application must be one of the Joint Account Holders of the Joint Bank Account. However the following are excluded from this restriction:

1. Gifts to a minor from Parents/Grand Parents up to Rs50,000(for each Purchase /per SIP Installment)
2. Employer's Remittance of Payroll deduction on behalf of Employees
3. Custodian's payment on behalf of an FII /Client

For further details please refer to Statement of Additional Information.

Dematerialization : Investors have the option to hold the Units in dematerialized form. Currently, this facility is not available in case of units offered under the Daily/Weekly/Fortnightly Dividend Option(s) under all income/debt/liquid Schemes. Investors who want an allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL). If PAN is not mentioned by applicants, the application may be rejected. Investors may attach a copy of the Client Master Form / DP statement showing active demat account details for due verification. Names, mode of holding, PAN details, etc. of the investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment. In case the demat details mentioned in the application are incomplete/incorrect or do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid.

6. Nomination: Please indicate a nominee who should be entitled to the benefits of your investment in the event of an untoward development. The section on Nomination is not applicable in the case of Non-individuals. The following points on nomination procedures may please be noted. For detailed procedure, please refer the Statement of Additional Information (SAI):

- Where a folio has joint holders, all joint holders should sign the request for nomination/ cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of attorney (PoA) holders.
- Nomination shall be compulsory for new folios/accounts especially where the mode of holding is single. Investors who do not wish to nominate must sign confirming their non-intention to nominate. Every new nomination for a folio/account will overwrite the existing nomination.
- Nomination shall not be allowed in an account/folio held on behalf of a minor. Where the nominee is a minor, various documents like KYC, PAN, Bank details, Indemnity, etc. should be of the guardian of the nominee.

7. Declaration & Signature: Signature can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate / Notary Public under his/her official seal. In case of HUF, the signature of the Karta and Karta of HUF seal/stamp are a must. If the application is in joint name, all applicants must sign the form. Please ensure that the signature is consistent not just in this form but in all transaction request documents you may submit subsequent to your investment. Variation in signature can lead to delays or rejection of a transaction request such as redemption, switch, change in address and change in bank mandate, to name a few.

For general Investor Related Services, please refer Statement of Additional Information (SAI).

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Unique Identification Number (UID) (Aadhaar)/Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

Investors must read the Scheme Information Document/Key Information Memorandum and Instructions before completing this Form.

A Closed-End Income Scheme
New Fund Offer Period Opens on: 14/04/2014 Closes on: 28/04/2014

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM
(can be used for both demat and non-demat account holders)

Date	D	D	M	M	Y	Y	Y	Y
ASBA Application No.								
NFO Application No. [mandatory]								

Broker/Agent Information			For office use only		
Name and AMFI Regn No.	Sub Broker Name & Code	SCSB	SCSB IFSC Code	Syndicate Member Code	SL No.
ARN-32141		[Name & Code]	[11 digit code]	[Name & Code]	

Name of first applicant (Mr./Ms./Mrs./M/s.)	For Demat Account holders, please fill in name as is appearing in Demat account										
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Existing Folio No.														
(If you have an existing folio number of any Scheme with Sundaram BNP Paribas Fund Services, please mention the number here)														

Depository Account Details: [Mandatory if the investment is in demat mode]														
Depository Name [Please tick]	National Securities Depository Ltd			Central Depository Services [India] Limited										
Depository Participant Name														
DP-ID														
Beneficiary Account Number														

PAN of 1st applicant [Permanent Account Number]														
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Investment Details													
Sundaram Hybrid Fund-Series-H Plans: <input type="checkbox"/> Regular <input type="checkbox"/> Direct Options: <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout <input type="radio"/> Half Yearly <input type="radio"/> Yearly													

Details Of Bank Account For Blocking Of Funds [Bank Account should be in the name of First Applicant only]														
Bank Account Number														
Bank Name														
Branch Name where account is held														

Total Amount to be blocked [Rs. In figures]														
	[Rs. In words]													

UNDERTAKING BY ASBA INVESTOR

1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Sundaram Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Sundaram Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Sundaram Mutual Fund or Sundaram Asset Management Company Limited or Sundaram Trustee Company Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/Investment Manager quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

Signature													
Signature of Bank Account Holders													

Acknowledgement Slip To The Investor (To be filled up and retained by the investor)

Sundaram Asset Management, II Floor, 46 Whites Road, Chennai - 600 014. Toll Free 1800 103 7237 (India) +91 44 49057300 (NRI)										SUNDARAM MUTUAL						
Application Number [mandatory for non-demat account holders]:										ASBA Application Number:						
Sundaram Hybrid Fund-Series-H Plans: <input type="checkbox"/> Regular <input type="checkbox"/> Direct Options: <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout <input type="radio"/> Half Yearly <input type="radio"/> Yearly																
Date	D	D	M	M	Y	Y	Y	Y	ASBA Application Number							
Received from Mr./Ms./Mrs./M/s.	SCSB Account details			Total Amount to be Blocked				SCSB Stamp, Signature								
	A/C No.			Rs. In figures				Date & time of receipt								
Address	Bank Name			Rs. In words												
	Branch Name															

- Applications Supported by Blocked Amount or ASBA facility:** An application containing an authorization given by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO (New Fund Offer) of a Scheme. If an investor is applying through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units.

It is an additional payment option that the investor can use in addition to the existing modes (Cheque/Demand Draft etc) for buying Units during NFO. It is available only to individuals. It is not available for subscribing to Units on an ongoing basis after the NFO. For availing this option the bank, where the investor has an account, should be a Self Certified Syndicate Bank (SCSB)

- "Controlling Branches (CBs) of the Self Certified Syndicate Banks (SCSB):** Controlling Branches (CBs) are the branches of the SCSBs acting as coordinating branches for the Registrar and Transfer Agent of Sundaram Mutual Fund schemes, the AMC and the Stock Exchange(s) for the ASBA facility offered during the NFO period.
- "Designated Branches (DBs) of the SCSBs:** Designated Branches (DBs) are the branches of the SCSBs which shall collect the ASBA Application Forms duly filled by the Investors towards the subscription to the Units of the Scheme offered during the NFO. The list of these Designated Branches is available at <http://www.sebi.gov.in/pmd/scsb.pdf> and www.sundarammutual.com
- "Self Certified Syndicate Bank" or SCSB:** Self Certified Syndicate Bank/ SCSB means a bank registered with SEBI to offer the facility of applying through the ASBA process. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs as displayed by SEBI on its website at www.sebi.gov.in.
- The ASBA facility during NFO:** In respect of New Fund Offer (NFO) of Schemes/Plan(s) launched on or after October 1, 2010, an investor (being an Individual) can subscribe to the NFO through Applications Supported by Blocked Amount (ASBA) facility by applying for the Units offered in the ASBA Application Form and following the procedure as prescribed in the form. For details please refer to the Section "Applications Supported by Blocked Amount (ASBA) facility, below and also the Application Form/KIM of the Scheme

Applications Supported by Blocked Amount (ASBA) facility: Pursuant to SEBI Circular dated SEBI/IMD/CIR No 18/198647/2010 March 15, 2010, an investor can subscribe to the New Fund Offer (NFO) launched on or after October 1, 2010 through ASBA facility by applying for the Units in the ASBA Application Form and following the procedure as prescribed in the form.

ASBA is an application containing an authorization given to the Bank by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO of the Scheme of Sundaram Mutual Fund. Thus, for an investor who applies through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units. The blocked amount cannot be withdrawn and will earn interest as per account terms applicable. It may be noted that since ASBA Facility is pursuant to an arrangement between the Investor and his/her Bank, Sundaram Mutual Fund or its Trustee/AMC/ its Employees/Directors will not be responsible for any delay, error or omission/commission, or deficiency if any, on the part of the Investor's Bank.

Benefits of Applying through ASBA facility

- Writing cheques / demand drafts and sending them for collection etc are not required, as investor needs to submit ASBA application Form accompanying an authorization to block the account to the extent of application money towards subscription of Units. The balance money, if any, in the account can be used for other purposes by the investors.
- Release/Unblocking of blocked funds after allotments done instantaneously.
- Unlike other modes of payment, ASBA facility prevents the loss of interest income on the application money towards subscription of Units as it remains in the bank account of the investor till the allotment is made.
- Refunds of money to the investors do not arise as the application money towards subscription of Units gets transferred only to the extent of amount payable for the actual allotment
- The investor deals with the known intermediary i.e. his/her own bank.
- The application form is simpler as the application form for ASBA will be different from the NFO application form.

ASBA Procedure:

- An Investor intending to subscribe to the Units of the NFO through ASBA, shall submit a duly completed ASBA Application Form to a Self Certified Syndicate Bank (SCSB), with whom he/she has a Bank Account.
- The ASBA Application Form towards the subscription of Units can be submitted through one of the following modes.
 - Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA"); or
 - Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").

- An acknowledgement will be given by the SCSB in the form of the counter foil or specifying the application number for reference. (Note: Such acknowledgement does not guarantee, in any manner that the investors will be allotted the Units applied for. Further, if the bank account specified in the ASBA Application Form does not have sufficient credit balance to meet the application money towards the subscription of Units, the Bank shall reject the ASBA Application form.)
- On acceptance of Physical or Electronic ASBA, the SCSB shall block funds available in the bank account specified to the extent of the application money specified in the ASBA Application Form.
- The application money towards the Subscription of Units shall be blocked in the account until (i) Allotment of Units is made or (ii) Rejection of the application.
- SCSBs shall unblock the bank accounts (i) for Transfer of requisite money to the NFO bank account against each valid application on allotment or (ii) in case the application is rejected.
- For the scheme HDFC Bank Limited has consented to process the ASBA application form.**

Note: No request for withdrawal of ASBA application form made during the NFO Period will be allowed.

Grounds for Technical Rejections of ASBA application forms ASBA

Grounds on which Application Forms can be rejected, at the discretion of Sundaram Mutual Fund/ Registrar and Transfer Agent of Sundaram Mutual Fund or SCSBs include, but are not limited to-

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane/insolvent persons or where the Bank Account concerned is the subject matter of any attachment / restraint order by a Court or a competent authority under any law etc.
- Mode of ASBA i.e. either Physical ASBA or Electronic ASBA not selected or ticked properly.
- The ASBA Application Form is without the stamp of the SCSB.
- Application by any person/entity outside India if which is not in compliance with applicable foreign and Indian laws/Regulations.
- Bank account details not given or incorrect /incomplete details given.
- Relevant Legal Documents (such as Duly certified Power of Attorney, if applicable), not submitted along with the ASBA application form.
- No corresponding records available with the Depositories matching the parameters namely
 - Names of the ASBA applicants (including the order of names of joint applicants holders)
 - DP ID
 - Beneficiary account number or any other relevant details pertaining to the Depository Account.

Mechanism for Redressal of Investor Grievances: All grievances relating to the ASBA facility may be addressed to the respective SCSBs, giving full details such as name, address of the applicant, number of Units applied for, counterfoil or the application reference given by the SCSBs, DBs or CBs, amount paid on application and the Designated Branch or the collection centre of the SCSB where the Application Form was submitted by the ASBA Investor.

If the SCSB is unable to resolve the grievance within reasonable time, it shall be addressed to the Registrar and Transfer Agent Sundaram BNP Paribas Fund Services (SBNPPFS) with a copy to the Investor Service Department of Sundaram Asset Management Company Limited

Investor Relations Manager

Rahul Mayor

Head - Customer Services

Sundaram Asset Management Company Limited

Sudarshan Building Annex, II Floor

New No.27, Old No.14, Whites Road, Royapettah, Chennai- 600 014.

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Email us at : customerservices@sundarammutual.com

(NRI): nriservices@sundarammutual.com

PIN Agreement & Email ID To Transact Online & Receive E-Mail Account Statement

Please read the terms & conditions of PIN on this page before signing the application form. PIN will be sent to all new investors along with account statement

Existing investors: As a one-time exercise, please fill this form and call Toll Free 1800 103 7237 (India) to enable our service team pick up the form

A Personal Identification Number – PIN – is a must for effective and speedy transacting online in a mutual fund's products. Do you have one? If you wish to receive a PIN, you can read the PIN agreement attached to this communication and sign at the designated place. On receipt of the same, a PIN will be sent to you at the postal address noted in our records. The PIN will help an investor view details of /track his investments in Sundaram Mutual in a safe, secure and convenient way.

We also offer the facility of sending account statements by email. In case you wish to do so, please furnish your Email ID and sign at the appropriate place in the attached document.

We do encourage you to use a PIN to execute your transactions online and sign up for sending the account statements by email as by increasing the share of paperless transactions in the economy you will be making a vital contribution to a cleaner and healthier environment.

Investor Information															Agreement Date				
Name																			
Folio No					Mobile Number					Date									
E-Mail Address															D D M M Y Y Y Y				

Agreement for Personal Identification Number – PIN For detailed PIN Agreement, visit: www.sundarammutual.com

This Agreement between Sundaram Mutual Fund having its office at 46 Whites Road, II Floor, Chennai - 600014 (hereinafter referred to as "the Fund") and the investor (whose details are available in this agreement form hereinafter referred to as "the unit holder") (hereinafter referred to as the Agreement). The date of this agreement is indicated in the panel on Investor Information in this form. WITNESSTH:

WHEREAS: The Fund and the Unit holder have entered into a Unit Purchase Agreement, whereby the Unit holder has agreed to purchase units in the Fund;

AND WHEREAS: The Fund, through Sundaram BNP Paribas Funds Services Limited, acting as its Registrar and Transfer Agent (hereinafter referred to as "Registrar") offers the facility of a Personal Identification Number (hereinafter referred to as "PIN") for convenience of unit holders

AND WHEREAS: The unit holder has indicated to the Fund that he/she/it wishes to avail of the PIN facility offered by the Fund, subject to the terms and conditions stated in the application form and has for this purpose, indicated to Fund the name of his/her/its Bank and Branch Account Number;

AND WHEREAS: The Fund is willing to extend the PIN facility to the Unit holder on the terms and conditions as set out herein;

Now THEREFORE, in consideration of the mutual understanding as set forth in this Agreement, the parties hereto have agreed to the following terms and conditions:

The PIN granted to the Unit holder pursuant to this Agreement shall be communicated to the Unit holder by a reliable courier and entirely at the risk of the Unit holder.

The following shall be the mode of operation of the PIN facility:

- a If the unit holder is a company, an institution/ trust or body corporate, the authorised signatories may designate any individual as designated person and his personal details should be submitted to the Registrar
- b If the mode of payment is the first holder only, PIN facility will be made available to the first holder
- c If the mode of operation is joint, all the unit holders may designate only one of them to operate this facility. In such case, the personal details of the such a person shall be submitted to the registrar
- d If the Unit holder is a minor, then the Natural Guardian or the Guardian appointed by the Court alone shall be eligible to operate the PIN facility. In such a case the personal details of the Guardian shall be submitted to the Registrar.

The Unit holder may access the following services through the Internet, using the PIN facility: (a) purchase including switch in (b) redemption including switchout (c) Access to NAV Information (d) Access to balance information (e) Ability to print Account Statements in a client-independent standard format (f) Links to the other Internet / web-sites of the Fund (g) Ability to email service requests to the Transfer Agent.

The Fund will take best efforts to keep the Fund's web-site updated on a daily basis so as to provide most current information to unit holders. However, the Unit holder agrees that because of communications issues, it is possible that the site may not be current on occasions. The Unit holder also agrees that the look and feel of the Web screen and outputs therefrom may differ based on the nature of the software used by the Unit holder to browse the site. The Fund agrees to take best efforts to protect security of the data placed on the Internet and has for this purpose, required the web service provider engaged by the Registrar to sign a confidentiality agreement with the Registrar specifying confidentiality of the data and to restrict external access to the database on the Internet. The Registrar shall ask the Unit holder for his / her / its name and Folio Number, address or such particulars to ensure the authenticity before accepting instructions on behalf of Fund.

The Registrar may, in the interest of the Unit holder, request a fax confirmation on the instructions received and shall not act until the said fax confirmation and additional confirmation is received

from the Unit holder. The Unit holder shall be responsible for safekeeping of the PIN and shall not disclose his / her / its PIN to any person and shall take all possible care to prevent discovery of the PIN by any person. The Unit holder shall not use his / her / its PIN after the disclosure of the same to any third party.

In the event of accidental disclosure of the PIN to any third party or if the unit holder forgets his password, the Fund may at the request of the unit holder and at its absolute discretion issue to the Unit holder a new PIN on these terms and conditions or under such terms and conditions, as the Fund may deem fit. The Unit holder shall be fully liable to the Fund for every transaction entered into using the PIN facility, whether with or without the knowledge of the Unit holder. The Unit holder hereby releases the Fund and the Registrar from any liability whatsoever against misuse of the PIN. Further, neither the Fund nor the Registrar shall be liable at all for any misuse if any, of any data placed on the Internet, by third parties "hacking" or unauthorisedly accessing the server. The Unit holder shall at all times indemnify the Fund, its officers, employees, successors and assigns from and against all actions, proceedings, claims and demands whatsoever for or on account of or in relation to any unauthorised use of the PIN and from and against all damages, costs, charges and expenses in respect thereof, unless the same is caused by gross negligence, bad faith or wilful default on the part of the Fund.

The Unit holder acknowledges and agrees that Sundaram Investment Manager/Fund and its Registrars or the Service Providers does not guarantee or warrant that Unit holder will not experience any downtime or disruptions in its use of the Service. In the event of any such downtime or disruption, the Investment Manager/Fund or its Registrar or its service providers shall use commercially reasonable efforts to reinstate accessibility and operability. Investment Manager/Fund or its Registrar or its service providers may restrict, suspend, or terminate investor's access to and use of the Service at any time, without notice or liability. The Unit holder agrees that its right to use the Service is non-exclusive, revocable, non-transferable, and non-sublicense able.

The Unit holder agrees to be bound by any terms of use, disclosures, or disclaimers displayed by the Investment Manager/Fund/ Registrar and its service providers and any that Unit holder may affirmatively click through to accept. The investor's use of the Services shall be subject to the terms and conditions of any applicable agreements between Registrar, Service Providers and the Investment Manager/Fund and/or investor.

Unit holder shall not: (A) access or use the Service for any purpose inconsistent with the substance and terms of this Agreement; (B) introduce into the Service any code, virus, or mechanism that would impair the Service or Investment Manager's/Fund system, computers or software; (C) use the Service to gain unauthorized access to any system or database; (D) sublicense or otherwise transfer or make available Investor's access to the Service to any third party that is not an Authorized Person (E) disclose to any third party non-public information relating to the content or operation of the Service, which information is confidential and proprietary to the Investment Manager/Fund; or (F) remove or obscure any of Investment Manager's/Fund or Registrars or any Service Provider's trademarks, service marks, or markings of copyright or patent rights contained in the Service. In the case of any disputes, the matter will be referred to arbitration at the first instance and settled through arbitration proceedings as per the rules of the Indian Arbitration and Conciliation Act, 1996. The Place of Arbitration will be at Chennai. The Courts in Chennai shall have jurisdiction over all disputes arising out of or in respect of this Agreement.

In Witness Whereof, the parties to this Agreement have caused these presents to be executed as of the day and year first above written.

Signature			Signed for and on behalf of Fund
First Applicant	Second applicant	Third Applicant	

Sundaram BNP Paribas Fund Services Customer Care Centres

ANDHRA PRADESH: **Anantapur** : 1st Floor, T Nagaraji Complex, D.No. 10/323, Sarojini Road, Opp. To Vasunderadevi Hospital, Near Clock Towers, Anantapur 515001. **Cuddapah** : 1st Floor, Lalithamma Building, NGO's Colony, Upstairs Union Bank Of India Cuddapah 516002. **Guntur** : Door No. 5-87-26, 1st Floor, Sai Srinivasa Building, Opp. Nelagiri Super Market, Main Road, Lakshmi Puram, Guntur 522007. **Hyderabad-Somajiguda** D.No.6-3-57/1, 408-410, Diamond Block, 4th Floor, Lumbini Rockdale Compound, Somajiguda, Hyderabad 500082. **Kakinada** 2nd Floor, D.No.5-1-61, Opp. Brindavan Lodge, Main Road, Surya Rao Peta, Kakinada 533001. **Karim Nagar** 1st Floor, House No: 8-6-179/2A Hyderabad Road, Above Mahi Motors, Kothirampur, Karimnagar 505001. **Kurnool** DPLR Plaza, 2nd Floor, Upstairs SBI Near (Old Town-Branch), Opp: Children's Park, Eswar Nagar Kurnool 518004. **Nellore** 24/16, Brahmanandapuram, Dargamitta, Nellore 524003. **Rajahmundry** 79-2-4 / 1, 1st Floor Tilak Road, Rajahmundry 533103. **Tirupathi** Door No 2/89, 1st Floor, Tiruchanur Road, Sreenivasapuram, Tirupathi 517503. **Vijayawada** Benz Circle #40-1-126, First Floor, Vasanth Plaza, Chandramoulipuram Benz Circle, Vijayawada 520010. **Visakhapatnam** D-No 47-10-13, 1st Floor, Redanam Regency, Near Diamond Park Dwaraka Nagar, Vishakhapatnam 530016. **Warangal** D-No 15-1-422/A,B Second Floor 3.6.5 Legend, Beside Industrial Estate Kaman, S.V.P Road Warangal 506002. **ASSAM:** **Guwahati** 1st Floor, Divine Plaza, G.S.Road, Dispur Super Market, Guwahati, Kamrup Dt. 781006. **BIHAR:** **Bhagalpur** Ground Floor, Chandralok Complex, Near Ghantaghar, Radha Rani Sinha Road, Mashakchak, PS Adampur (Kotwali), Bhagalpur 812001. **Muzaffarpur** Ground Floor, C/O. Mr. Vijay Kumar Singh, Infront Of Vaishali Sweets Shop, Imli Chatti, Muzaffarpur, 842001. **Patna** R.K. Estate 2nd Floor Opposite Of Indira Gandhi Institute Of Medical & Science, Raja Bazar, Bailey Road, Patna-800001. **Chhatisgarh:** **Bhilai** 2nd Floor, Shop No.402, Sai Ram Plaza, 5/4, Nehru Parisar, Near Grand Dhillon Hotel, Nehru Nagar, Bhilai, Durg Dist. 490020. **Raipur** 203, 2nd Floor, Vanijaya Bhavan, Devendra Nagar Road, Raipur 492001. **GUJARAT:** **Anand** F/1, Suramya, Nr. Town Hall, Vidyanagar Road, Anand.388001. **Baroda** 518 & 519, Centre Point, R C Dutt Road Alkapuri, Vadodra 390005. **Bharuch** No.230-232, 2nd Floor, Aditya Complex, Kasak Circle, Bharuch 392002. **Bhavnagar** G-5, Aristo Complex, Near Radha Mandir, Waghawadi Road, Bhavnagar 364001. **Bhuj** office No.7, First Floor, Royal Plaza, Plot No.2 & 3, Near Shiv Krupa Nagar, Bhuj-Mirzapur Highway, Bhuj 370001. **Jamnagar** 04, Corporate House, Opp. To St. Ann's High School, Pandit Nehru Marg Jamnagar 361008. **Junagadh** 2nd Floor, Shop No.212, Amba Arcade, M G Road, Junagadh 362001. **Mehsana** FF-01, Sigma Oasis, Nr: HDFC Bank, High Way Mehsana - 384002. **Navsari** 1st Floor, Shop No.116, Manohar Complex, Opp. Dabu Hospital, Fuvara, Navsari 396445. **Rajkot** 201, Titan Complex, 2nd Floor, Kalawad Road, Near G T Seth School, Opp. To Punjab Honda, Rajkot 360005. **Surat** No.607, 6th Floor, 21st Century Business Centre, Near Udhan Darwaja, Ring Road, Surat 395002. **Surendra Nagar** 2nd Floor, Rudraksh, Plot No.328 (Opp. To New Age Industries) Wadhwan Road, Surendra Nagar 363035. **Valsad** 2nd Floor, Shop No.216, Trade Centre, Station Road, Valsad.396001. **Vapi** Shop No.19 & 20, 1st Floor, Walden Plaza, Imran Nagar, Opp. To S B I, Daman - Silvassa Road, Vapi 396191. **Ahmedabad** 004, First Floor, Shivam Complex, Nr. Silicon Tower, Opp. National Handloom Law Garden, Ellisbridge, Ahmedabad 380006. **HARYANA:** **Ambala** 96 - 97, 1st Floor, Haryana Motor Market G T Road, Ambala City 134002. **Faridabad** SCO 107, 1st Floor, Sector - 16, Behind Sagar Cinema, Above J&K Bank, Faridabad 121002. **Gurgaon** SCO 35-36, 1st Floor, Sector 12a, Commercial, Old Delhi - Gurgaon Road, Gurgaon 122001. **Hisar** Shop No. 46 DSB First Floor, Red Square Market Hisar, Haryana 125001. **Panipat** 1st Floor, No.75, BMK Market, G.T. Road, Panipat. 132103. **Rohtak** 2nd Floor, Shop No.5-B, Gopal Complex, Civil Road, Rohtak 124001. **HIMACHAL PRADESH:** **Shimla** 1st Floor, Hameer House, Lower Chakkar, Shimla 171005. **JAMMU & KASHMIR:** **Jammu** Sadhana Commercial Building, No 27, A/C Gandhi Nagar Jammu 180001. **JHARKHAND:** **Bokaro** 1st Floor, Ms. Ranju Automobiles Pvt. Ltd Main Road, Chas, Bokaro 827013. **Dhanbad** 1st Floor, Shop No.107, Sree Ram Plaza, Dhanbad 826001. **Jamshedpur** No.2, 2nd Floor, Meghdeep Building, Near South Park Hotel, Q Road, Bistupur, Jamshedpur 831001. **Ranchi** 1st Floor, Amarnath Complex, New Daily Market, Ranchi 834001. **KARNATAKA:** **Belgaum** 1st Floor, Shop No.8, Mujawar Arcade, Mujawar Compound PB Road, Nehru Nagar, Belgaum 590010. **Bellary** Flat No.3 & 9, 1st Floor, Ward No.16, T.S. No.52 Near Clock Tower Circle, Bellary 583101. **Davangere** 2/68/3, Jayavibhava Nilaya, First Floor Opp Ram&Co Provision Stores 4th Main, P.J.Extension, Davangere 577002. **Hubli** 1st Floor, Centre Point 107, 108 New Cotton Market, Sanje Vani Press Hubli 580029. **Mangalore** Sundaram Finance, 2nd Floor, Krishna Prasad Bldg. Above Pabba Ice Cream Parlour Nr.Mangalore City Corporation, Lal Bagh, Mangalore 575003. **Mysore** Venjay, Edifice, II Floor, No.37, JLB Road Chamarajapuram, Mysore 570005. **Raichur** Sai Ram Complex, 1-10-38, Station Road Opp. Ram Mandir, Raichur 584101. **Shimoga** 1st Floor, Sri Ranganatha Commercial Complex Shivamurthy Circle, Kuvempu Road, Shimoga-577201. **Udupi** Second Floor, Andrade Arcade, Near Syndicate Bank, Catholic Centre, K.M Marg, Udupi. **Bengaluru** #186 1st Cross, 2nd Floor Hosur Main Road Wilson Garden Bangalore 560027. **KERALA:** **Kannur**-Thalap 1st Floor, Tpn A1, A2, Malabar Tower, Opp Indus Motors, Thalapp, Kannur 670002. **Kollam**-Kilikollur 1st Floor, KMK Complex, Second Milestone, Kilikollur, Kollam 691004. **Kottayam** 1st Floor, Kytharam Complex, Union Club Junction, Kottayam 686001. **Kozhikode** -Mavoor Road, No.5/3249-H, First Floor, Century Plaza Building, Behind KTC Petrol Pump, Indira Gandhi Road (Mavoor Road), Kozhikode 673001. **Palakkad** First Floor, 15/1513 (50), Akshaya Foundation, Stannius Bye-Pass Road, Palakkad 678001. **Thiruvananthapuram** -Kaudiar 2nd Floor, Ali Plaza Building, Opp. Tendu Club, Kaudiar, Thiruvananthapuram 695003. **Thiruvananthapuram** -Car Second Floor, R V Trade Centre, Patturakkal Junction, Near Karthiyani Nursing Home, Shoranur Road, Thrissur 680022. **MADHYA PRADESH:** **Bhopal** Plot No. 6, VNN Plaza, 3rd Floor Zone II M P Nagar, Bhopal 462011. **Gwalior** II Floor, 44 City Centre, Narayan Krishna Madhav Rao Scindia Road, Gwalior 474002. **Indore** -Vijnanagar Plot No.2, 2nd Floor, Vijay Nagar, A.B Road, Opp. Metro Tower, Indore. 452010. **Jabalpur**

Second Floor, "Digamber Tower" 936, Wright Town, Pandit Bhawan Prasad Ward, Jabalpur, Madhya Pradesh 482002. **Ratlam** 2nd Floor, 16/45, Ratlam Plaza, Block No.C, Opp. ICICI Bank, Ratlam 457001. **Sagar** 1st Floor, Singhai Buildings 10, Civil Lines, Sagar 470002. **Satna** 2nd Floor, Collectorate Road, Civil Line, (Above M/S. Siddhivinayak Motors), Satna 485001. **MAHARASHTRA:** **Ahmednagar** 209, 2nd Floor, Adish Plaza, ICICI Home Finance Building (Opp. Dowle Hospital) Nagar Manmad Road, Savedi, Ahmednagar 414003. **Akola** C-13, First Floor, Dakshata Nagar Vyapari Complex Sindhri Camp Chowk, Akola 444001. **Amaravathi** 71 & 72, 1st Floor, Gulshan Towers, Panchsheel Talkies Road Jaistambh Chowk, Amaravathi 444601. **Aurangabad** First Floor, B-4, Aurangabad Business Centre, Adalat Road, Aurangabad 431005. **Jalgaon** 2nd Floor, India Plaza Complex, Vivekananda Nagar, Swatantry Chowk, Jilha Peth, Jalgaon 425001. **Kolhapur** Office No:12, 2nd Floor, R.D.Vichare Complex (Gemstone) Near Central Bus Stand, New Shahupuri, Kolhapur 416001. **Latur** Sanmaan, First Floor, Opp To Amba Mata Mandir, Chandra Nagar, Latur 413512. **Nagpur** 110111, Shri Mohini Complex, Opp. Kasturchand Park 345, Kingsway Road, Nagpur 440001. **Nasik** First Floor, Padma-Vishva Regency, Behind Saroj Travels, Next To Manavta Cancer Hospital Mumbai Naka, Nasik 422001. **Pune** I Floor, 'Mantri Vertex' Law College Road, Pune 411004. **Sangli** Shiv Ratna Complex, S4, 3rd Floor, CST No.1047b, College Corner North Shivaji Nagar, Madhavnagar Sangli 416416. **Sholapur** 786, Maruti Tele Sankul, South Kasba, Shinde Chowk, Sholapur 413007. **Mumbai Fort** No: 114, 1st Floor, Commerce House, 140, Nagindas Master Road, Fort, Mumbai 400023. **NEW DELHI:** **New Delhi** 605, Sixth Floor 'Ashoka Estate Building', 24, Barakhamba Road, New Delhi 110001. **ORISSA:** **Balasure** Second Floor, Basanti Niwas PO - Sahadeb Khuntha Near Bus Stand Balasure 756001. **Berhampur** 1st Floor, Alakananda Enclave, 1st Lane, Gajapati Nagar, Ganjam Dist, Berhampur 760010. **Bhubaneswar** 2nd Floor, Banadev Bhawan, A/108, Saheed Nagar, Khurda Dt, Bhubaneswar 751007. **Cuttack** Plot No.3209, 2nd Floor, Urmila Plaza, Above Utkal Motors, Madhupatna, Cuttack, Orissa 753010. **Rourkela** Plot No. 309/P, 2nd Floor, Uditi Nagar, Opp. Ambedkar Chowk, Rourkela, Sundergarh District, Orissa State, 769012. **Sambalpur** Sundaram Finance Ltd, First Floor, Sabat Complex Near Wesco Office Main Road, Ainthapalli Sambalpur 768004. **PONDICHERY:** **Pondicherry** Ms Royal Enclave, Plot No 19, 100 Feet Road, Mudaliarpet-Pondicherry 605004. **PUNJAB:** **Chandigarh** S.C.O 56-57 2nd Floor, Phase-II Mohali, Punjab 160072. **Amritsar** 151001, 27-A, Classic Plaza, Majitha Road, Amritsar 143001. **Bhatinda** 1st Floor, 3038 - A Guru Kanshi Marg, Bhatinda 151001. **Jullundur** 5 E, Session Court Road, 1st Floor, Near B M C Chowk, Jullundur 144001. **Ludhiana** S C O-13, 1st Floor, Shanghai Towers, Feroz Gandhi Market, Ludhiana 141001. **Patiala** 1st Floor, SCO 65, New Leela Bhawan, Opp. Federal Bank, Patiala. 147001. **RAJASTHAN:** **Ajmer** 1st Floor, Adjoining K C Complex Opp: Daulat Bagh, Ajmer 305001. **Alwar** 29, Harshil Tower, 3rd Floor Naru Marg, Keshav Nagar Alwar 301001. **Bhilwara** Second Floor, Budh Plaza Opp: Circuit House, Basant Vihar, Bhilwara 311001. **Bikaner** Second Floor Chug Mantion Opp. Drm Office, Modern Market, Bikaner 334001. **Jaipur** CV 205, 2nd Floor, Sangam Towers, Church Road, Off. M I Road, Jaipur 302001. **Jodhpur** 201, 202, Second Floor, Mody Arcade Chopasani Road, Near Bombay Motors, Jodhpur 342003. **Kota** Second Floor Above Reebok Showroom 393, Shopping Centre Nr. Ghode Wale Baba Circle, Kota 324007. **Sriganganagar** Ground Floor, Bansal Tower, Jawahar Nagar, N.H.No.15, Sriganganagar, Rajasthan 335001. **Udaipur** First Floor, Madhubani, 2-C, Madhuban, Above ICICI Bank Udaipur 313001. **TAMIL NADU:** **Coimbatore** No.62, First Floor, Time Square Balasundaram Road, A.T.T. Colony, (Near RTO Office) Coimbatore 641012. **Chennai** - Patullus Road Ground Floor, 19, Patullus Road, Chennai 600002. **Erode** 16/3, 1st Floor, Brindavan Hotels Building, EV Nanjappa Road, Erode 638011. **Hosur** K K Mansion, 92/5 First Floor, (Near Maharishi Primary School), Bagalur Road, Hosur 635109. **Karur** Srn Towers, 11nd Floor, T.S.No.208/1, 1st Cross, Covai Road, Sengunthapuram, Karur 639001. **Kumbakonam** 1st Floor, Nalli Plaza, Old Door No:34&34A, T S R Big Street, Kumbakonam 612001. **Madurai** City 37, Krishna Rao Tank Street, (TVS Co-Operative Store) Madurai, 625001. **Salem** City First Floor, Sivari Shopping Mall, 2/91, New Bus Stand Road, Meyyanur 616004. **Tirunelveli** 81 Trivandrum High Road 1st Floor, Palayamkottai, Tirunelveli 627003. **Tirupur** Muthu Plaza, 1st Floor 320, Avanasari Road, Tirupur 641602. **Trichy** Bus Plaza, 2nd Floor, Front No.5-G, Lawsons Road., Conntonment, Trichy 620001. **UTTAR PRADESH:** **Agra** Shop No. 706, 7th Floor, Maruti Plaza, 118/8, Sanjay Palace, Agra 282002. **Aligarh** Shop No.7 & 22, U.G.F. Aliq Corporate Plaza, Marris Road, Aligarh, Uttar Pradesh 202001. **Allahabad** 1st Floor, Saroj Bhawan, 14/4, Stanley Road, Near Patrike Crossing, Civil Lines, Allahabad 211001. **Bareilly** Krishna Complex, Opp. Sports Stadium, Pilibhit Bye Pass, Brahmapura, Bareilly Dist 243001. **Ghaziabad** 1st Floor, Ff - 31, Konark Building, Gda Market, RDC, Ghaziabad 201001. **Gorakhpur** 1st Floor, Bank Road, Opposite Subhash Tractor, Corner Gali, M.G.Road, Gorakhpur 273001. **Jhansi** Shop No. 5, Narayan Plaza Jojo House, Infront of Employment Exchange Gwalior Road, Jhansi 284001. **Kanpur** Sundaram BNP Paribas Fund Services Ltd, 218/219 Kan Chambers, 14/113 Civil Lines Kanpur 208001. **Lucknow** G-6 A, Upper Ground Floor, Sky Hi Chambers, 11/5, Park Road, Lucknow 226001. **Mathura** 3rd Floor, Shop No.330, Dwarikadheesh Plaza, Mohalla Brijnagar, Junction Road, Sonkh Adda, Mathura 281001. **Moradabad** 2nd Floor, Office No.3, Vallabh Complex, Near PMS School Civil Lines, Moradabad 244001. **Varanasi** Flat No.7, 2nd Floor, Rama Kunj, C-32-22/17 Ram Singh Rana Nagar Colony, Cantt Siga Road, Varanasi 221002. **UTTRANCHAL:** **Dehradun** Shiva Palace, IInd Floor 57 / 19, Rajpur Road, Dehra Dun 248001. **WEST BENGAL:** **Asansol** 1st Floor, Above United Bank Of India, B.B. College More, Ushagram East, G.T. Road, P.O. Asansol, Dist. Burdwan, Asansol 713303. **Burdwan** 5 B.M.V Apartment, 35 G.T. Road, Parbihata, Po: Sripally, Burdwan 713103. **Durgapur** Sri Chaitanya Complex, 2nd Floor, Bengal Ambuja Phase II, Ambedkar Sarani, City Centre, Durgapur 713216. **Siliguri** Shree Radha Complex, Block-B 2nd Floor, Jskon Mandir Road Siliguri, Dt. Darjeeling-734001. **Kolkata** "Chowringhee Court", 2nd Floor, Unit No.33, 55/55/1, Chowringhee Road, Kolkata 700071.

Branches of Sundaram Asset Management

Ahmedabad: 104, Arth Complex, Behind A.K. Patel House, Mithakhali Six Roads, Navrangpura, Ahmedabad 380009. **Baroda:** 127, Siddharth Complex, Nr. Express Hotel, Alkapuri, Baroda 390007. **Bengaluru:** Ground Floor, Sana Plaza, 21/14 A, M.G. Road, Near Trinity Metro Station, Bengaluru 560001. **Bhopal:** Plot No 10, Alankar Palace, Bank Street, M.P. Nagar, Zone 2, Bhopal 462011. **Bhilai:** 36/5, First Floor, Nehru Nagar, Beside ING Vysya Bank, Bhilai 490020. **Bhubaneswar:** Office No-16, Deendayal Bhawan, 2nd Floor, Ashok Nagar, Bhubaneswar 751009. **Calicut:** 3rd Floor, Kanchas Building, Near New Bus Stand, Calicut 673004. **Chandigarh:** SCO 2475 - 2476, 2nd Floor, Sector - 22 C, Chandigarh 160022. **Chennai** Ho. No. 46 Whites Road, Royapettah, Chennai 600014. **Cochin:** Kassim Building, 36/1899, Door No.D I Floor, Sebastain Road, Off. Kaloor Kadavanthra Road, Kaloor, Cochin 682017. **Coimbatore:** No.101E 2nd Floor, Kala Mansion Building, D B Road, R.S.Puram, Coimbatore 641002. **Dehradun:** 57/19 2nd Floor, Shiva Palace, Rajpur Road, Dehradun 248006. **Delhi:** 7B, Rajender Park, Pusa Road, Upper ground floor, Metro Piller No. 153, New Delhi 110060. **Durgapur:** Unit No. A-307, Second Floor, Citi Centre, Durgapur 713216. **Goa:** F 30, Alfran Plaza, Opp Don Bosco, Panjim, Goa 403001. **Guwahati:** Anandi Commercial Complex, 2nd Floor, Bora Service, G.S.Road, Guwahati 781007. **Hyderabad:** 6-3-1090/A/13, Flat No 401, 4th Floor, Manbhoom Jade Towers, Hotel Fortune Katriya Lane, Raj Bhavan Road, Somajiguda, Hyderabad 500082. **Indore:** 125, Starlit Tower, 29/1, Y N Road, Indore 452001. **Jaipur** 303, III Floor, Brijanukampa Tower, Ashok Marg, C-Scheme, Jaipur 302001. **Jalandhar:** 5E, First Floor, Session Court Road, Near BMC Chowk, Jalandhar 144001. **Jamshedpur:** Shop 5 / B, III Floor, Meghdeep Building, Q Road, Bistupur, Jamshedpur 831001. **Jodhpur:** 116, First Floor, Modi Aarcade, Chopasni Road, Near Bombay Motor Circle, Jodhpur 342001. **Kanpur:** 113/14, Kan Chambers, 217/218, 2nd Floor, Civil Lines, Kanpur 208001. **Kolkatta:** Azimganj House, 7, Camac Street, Block-6, 3rd Floor,

Kolkatta 700017. **Lucknow:** 104, UGF Sky Hi Chamber, 5-Park Road, Lucknow 226001. **Ludhiana:** SCO-18, Cabin No-401, 4th Floor, Feroze Gandhi Market, Ludhiana 141001. **Madurai:** 183 C, North Veli Street, Opp Duke Hotel, Madurai 625001. **Mangalore:** B2, Souza Arcade, Balmatta, Mangalore 575001. **Mumbai:** • 2A, 2nd Floor, Hdli Kaledonia Commercial Complex, Opp Vijay Nagar, Sahar Road, Andheri (E), Mumbai 400699 • Shop No-6, Yashwant Bldg, Ram Maruti Cross Road, Near Ratnakar Bank, Naupada, Thane West, Mumbai 400602 • 12th floor, 1222, Maker Chamber V, Nariman Point, Mumbai 400021. **Nagpur:** C/O Fortune Business Centre, Plot No. 6, Vasant Vihar WHC Road, Shankar Nagar, Nagpur 440010. **Nashik:** L-17, Suyojit Sankul, Near Rajiv Gandhi Bhavan, Sharanpur Road, Nashik 422002. **Patna:** 205, Ashiana Hari Niwas, New Dak Bunglow Road, Patna 800001. **Pondicherry:** No.40, Mission Street, Pondicherry 605001. **Pune:** 1st Floor, Unit No 3, Rachana Trade Estate, Behind Swad Hotel, Below Royal Sundaram Insurance, Law College Road, Pune 411004. **Raipur:** Office No.26, 3rd Floor, Millenium Plaza, G.E. Road, Raipur 492001. **Rajkot:** 202, Business Terminal Complex, Opp. Ram Krishna Ashram, Dr. Yagnik Road, Rajkot 360001. **Salem:** 315C, AVK Arcade, Omaluram Road, Opp New Bus Stand, Salem 636004. **Surat:** L-14, Jolly Plaza, Athwagate, Surat 395001. **Trichy:** No 60, Krishna Complex, Shastri Road, Thennur, Trichy 620017. **Trivandrum:** R S Complex, T C 2/3262 (5), Opp LIC Building, Pattom, Trivandrum 695004. **Varanasi:** Flat No 7, 2nd Floor, Ramakunj Building, C-32, 22/17, Ram Singh Rana Nagar Colony, Cantt., Siga Road, Varanasi 221002. **Vijayawada:** D.No.40-10-5, Sree Ramachandra Complex, Benz Circle, Bajaj Showroom Lane, Vijayawada 520010. **Vizag:** Cabin F-3, 46-19-5/3, Mandavari Street, Dondaparthy, Vizag 530016.

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